

# Fractional Quantum Hall Effect of Lattice Bosons

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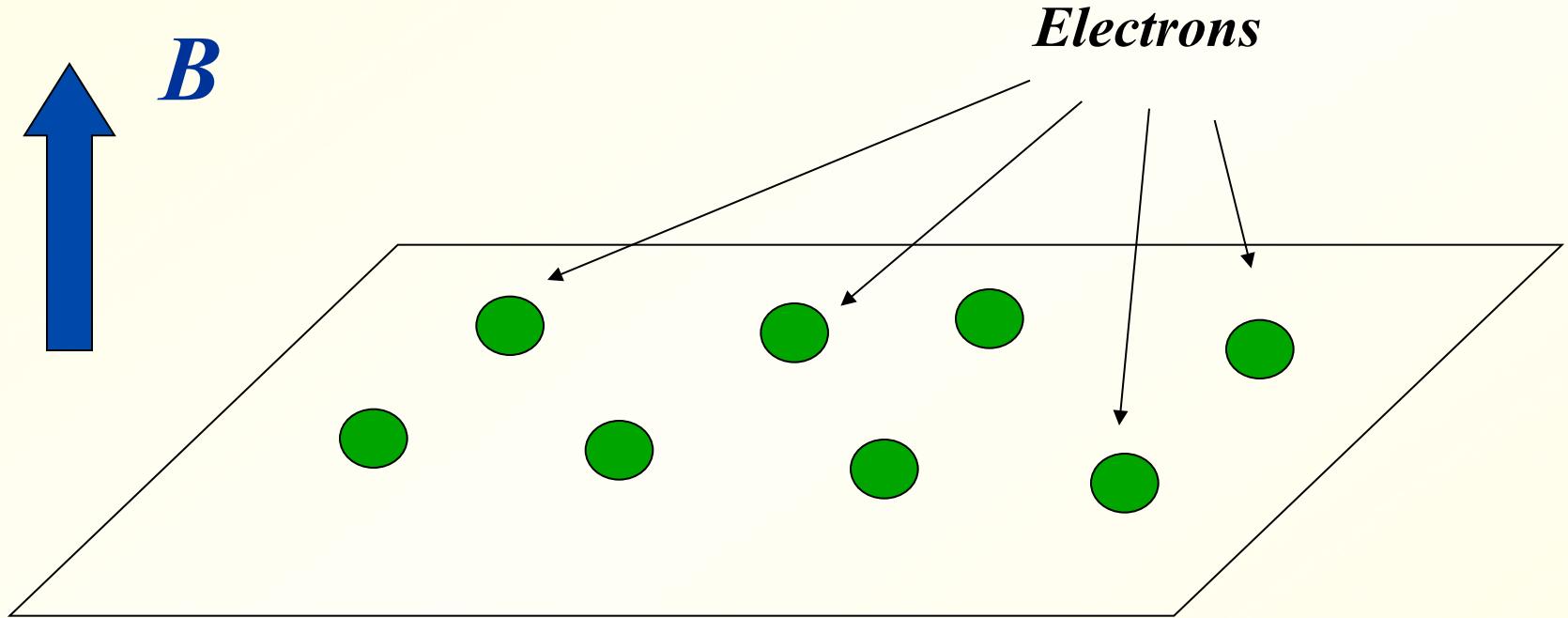
*Plus some new stuff ...*

Joost Slingerland

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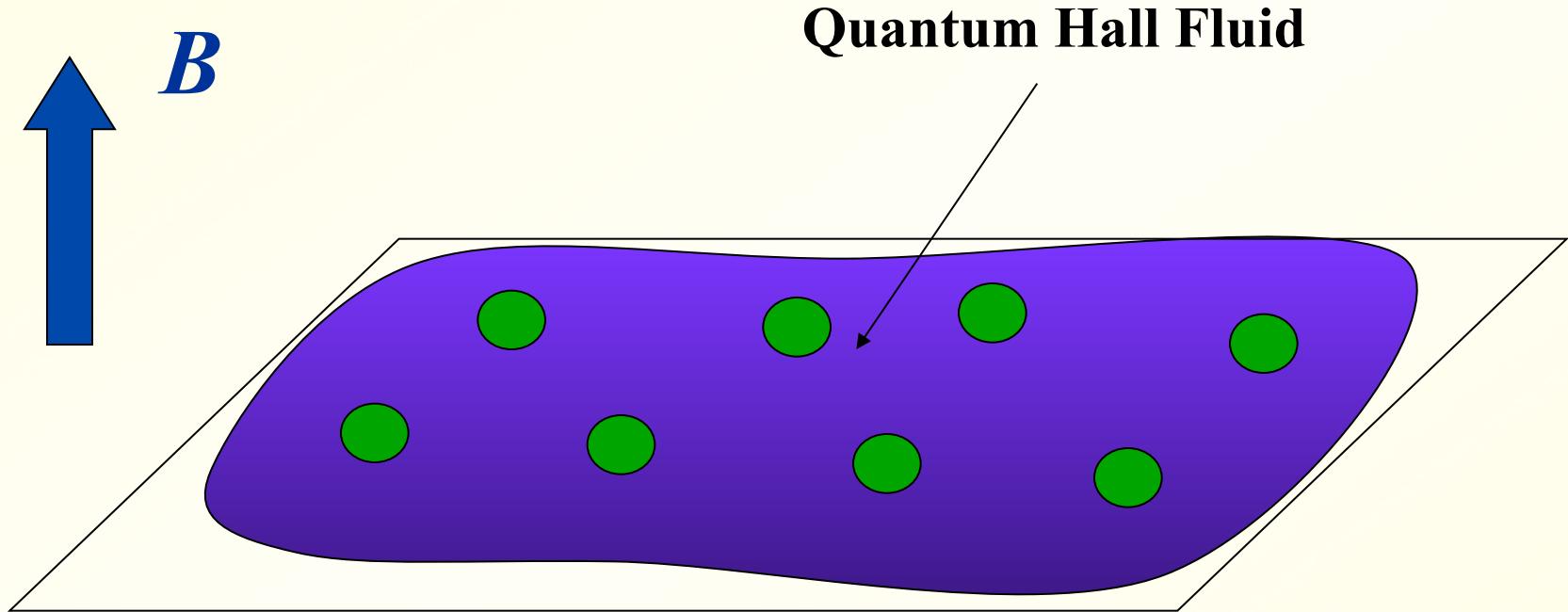
Support: NIST/NRC; Marie Curie IIF

# Fractional Quantum Hall Effect



*A two dimensional gas of interacting electrons in a strong magnetic field  $\mathbf{B}$ .*

# Fractional Quantum Hall Effect



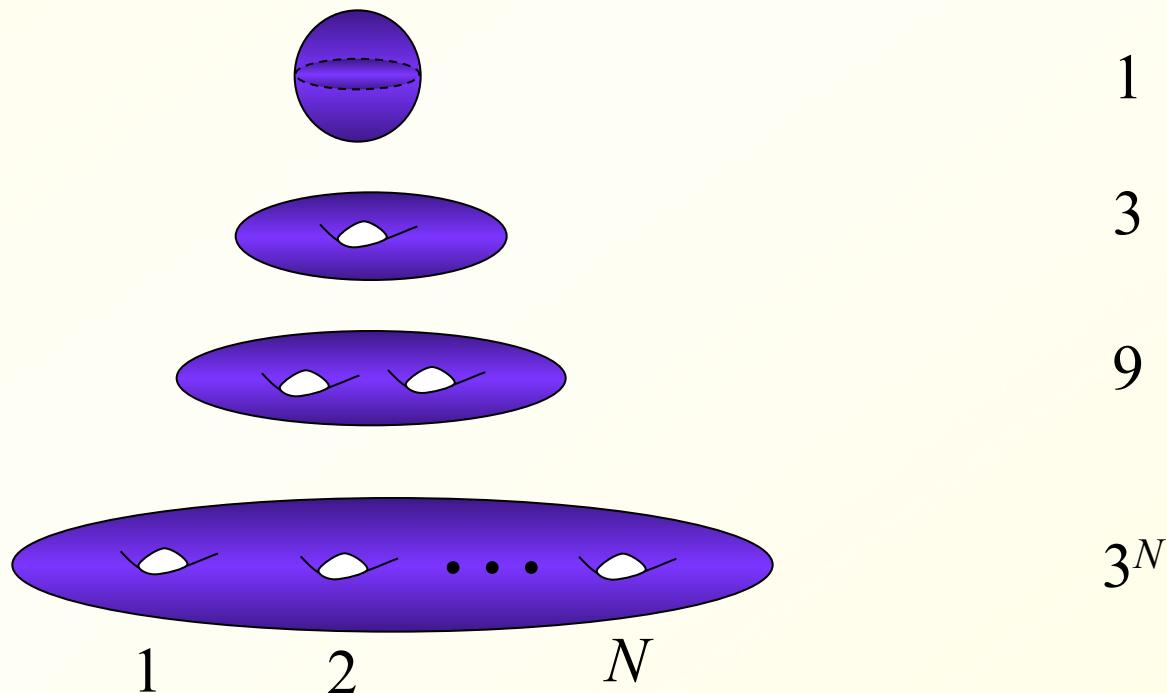
An **incompressible quantum liquid** can form when the Landau level filling fraction  $\nu = n_e(h/eB)$  is a rational fraction.

# Topological Degeneracy (*X.G. Wen*)

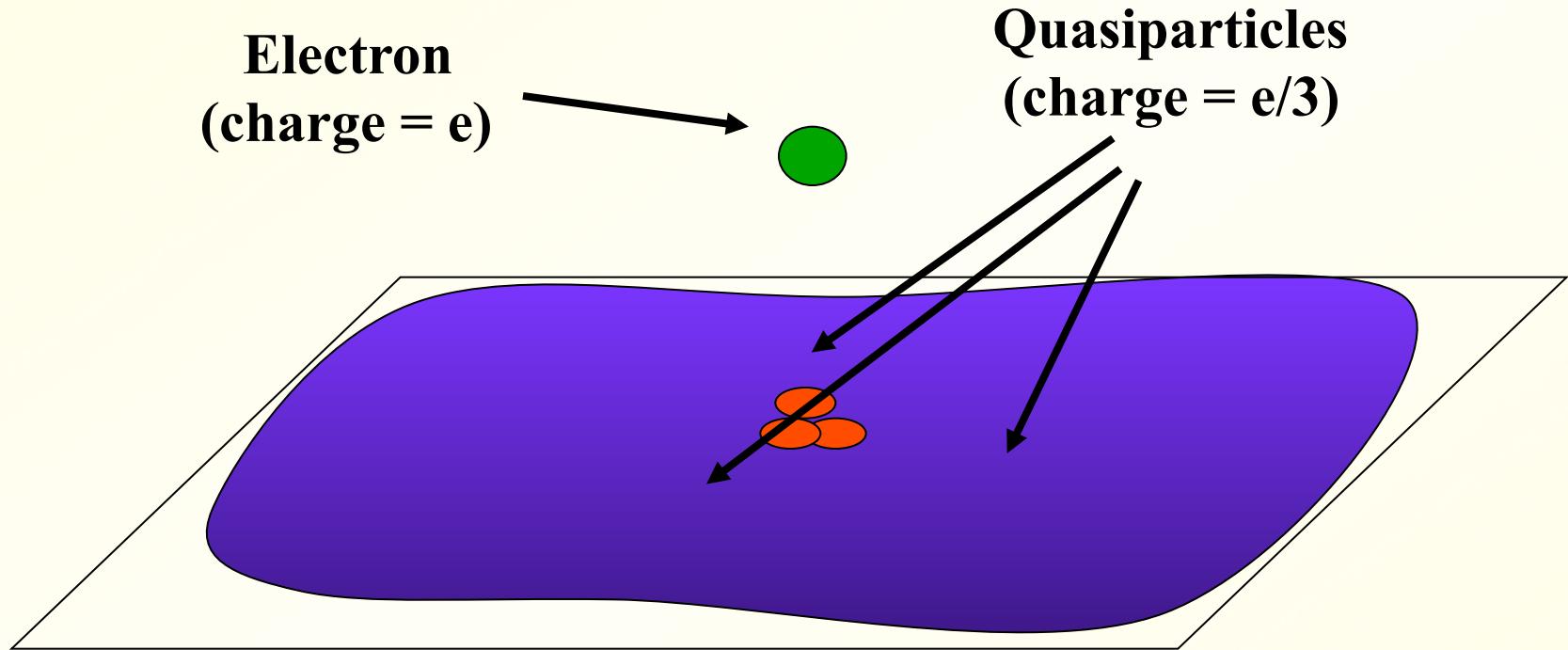
A theoretical curiosity: FQH states on **topologically nontrivial surfaces** have degenerate ground states which **can only be distinguished by global measurements**.

For the  $\nu = 1/3$  state:

Degeneracy

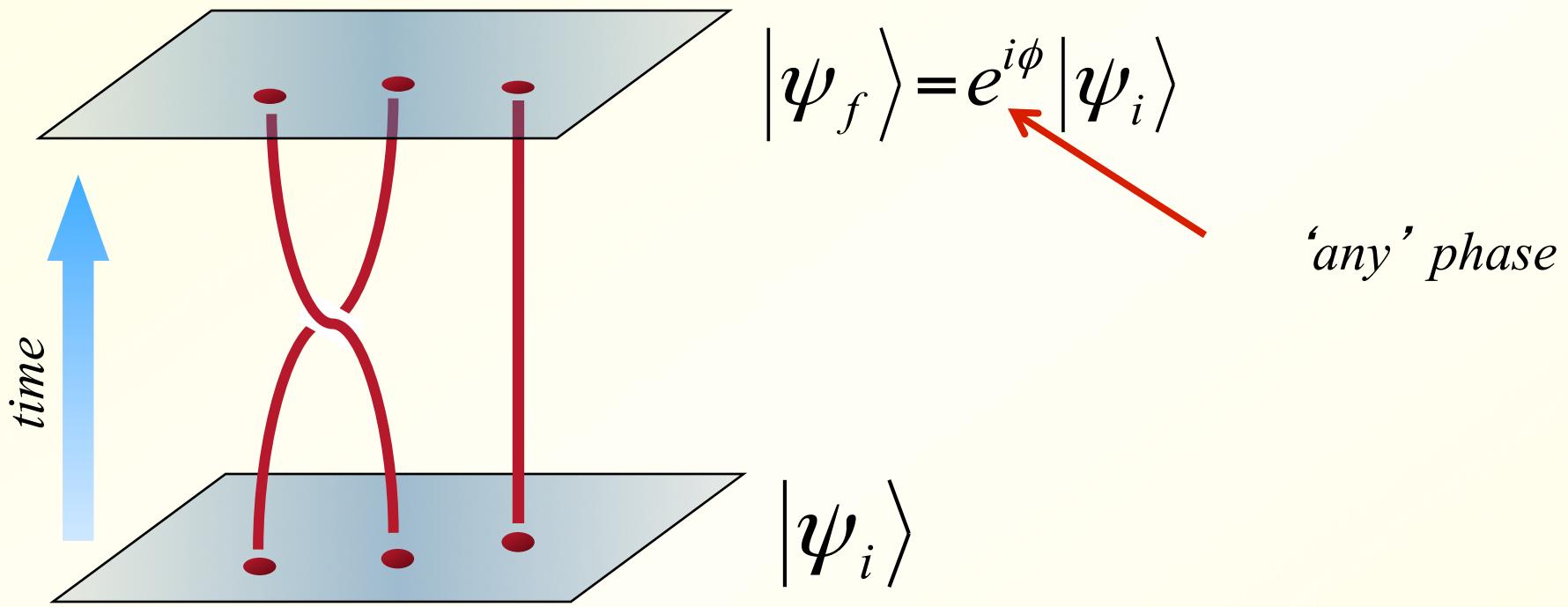


# Fractional Quantum Hall Effect



When an electron is added to a FQH state it can be **fractionalized** --- i.e., it can break apart into **fractionally charged quasiparticles**.

# Fractional Statistics: Abelian Anyons



$e^{i\phi} = +1$  Bosons

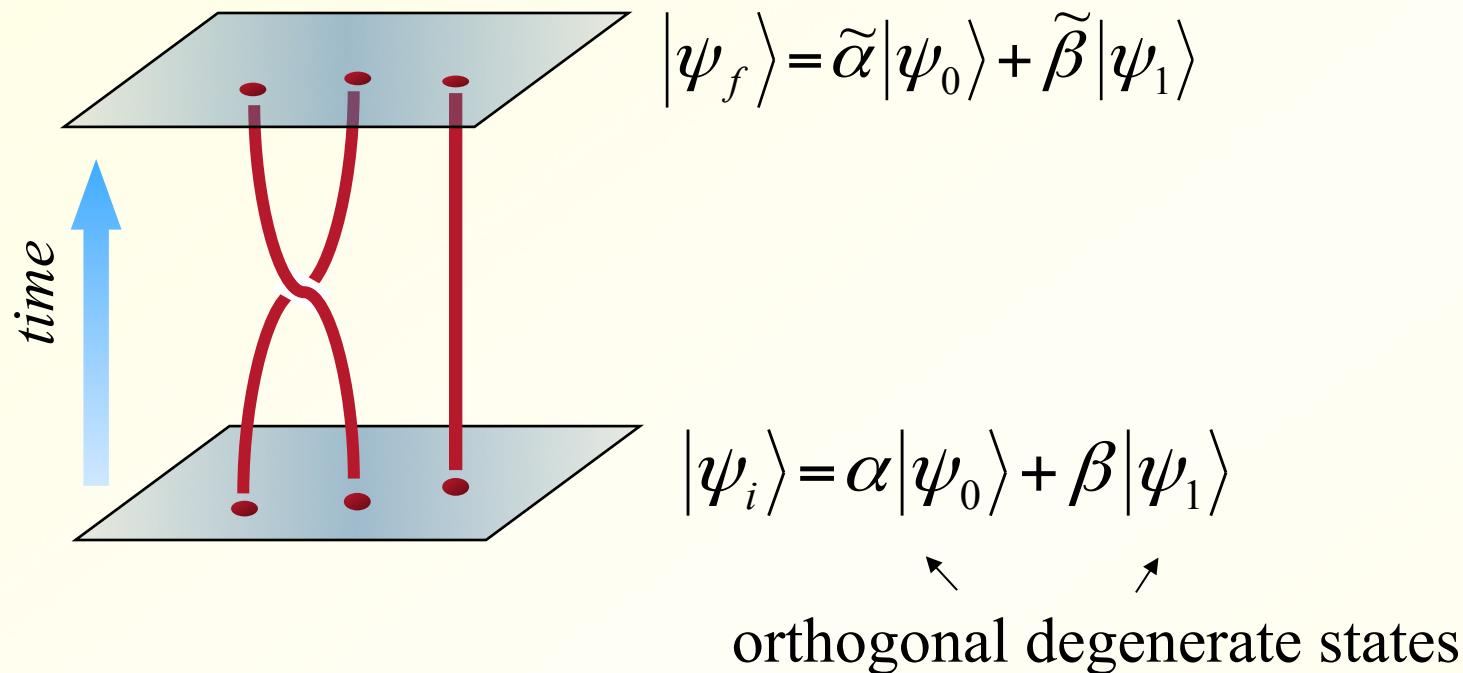
$e^{i\phi} = -1$  Fermions

$\nu = 1/3$  quasiparticles  $\rightarrow \phi = \pi/3$

→ Fractional Abelian Statistics!

# Non-Abelian Anyons

Moore, Read, '91



# Non-Abelian Anyons

Moore, Read, '91

$$|\psi_f\rangle = \begin{bmatrix} \tilde{\alpha} \\ \tilde{\beta} \end{bmatrix} = \begin{pmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{pmatrix} \begin{bmatrix} \alpha \\ \beta \end{bmatrix}$$

Unitary matrix represents topological braid operation.

$$|\psi_i\rangle = \begin{bmatrix} \alpha \\ \beta \end{bmatrix}$$

orthogonal degenerate states

Matrices form a **non-Abelian** representation of the **braid group**.

→ Non-Abelian Statistics

# Non-Abelian Anyons

Moore, Read, '91

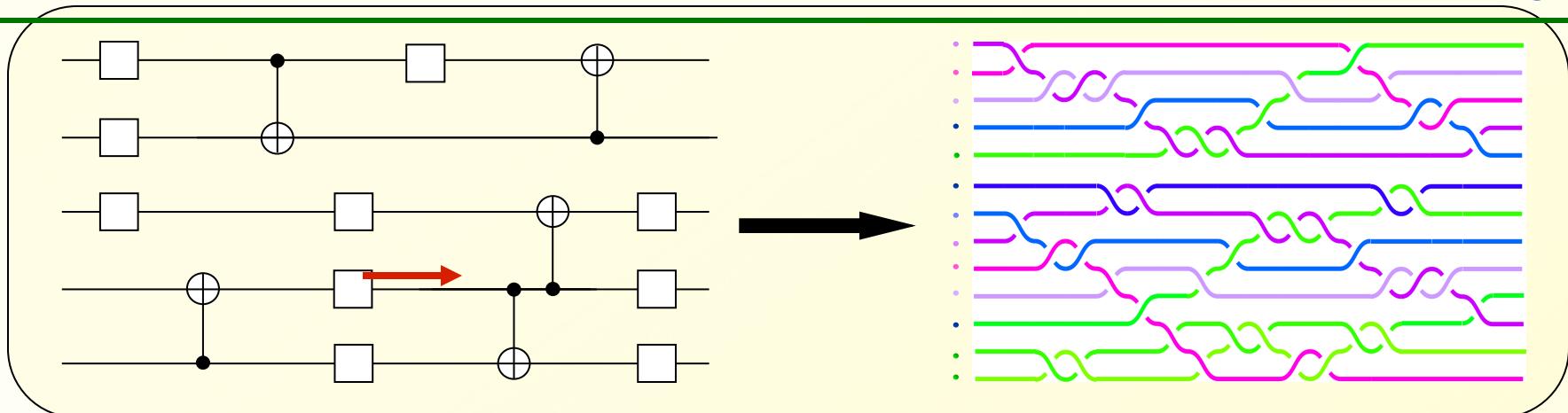
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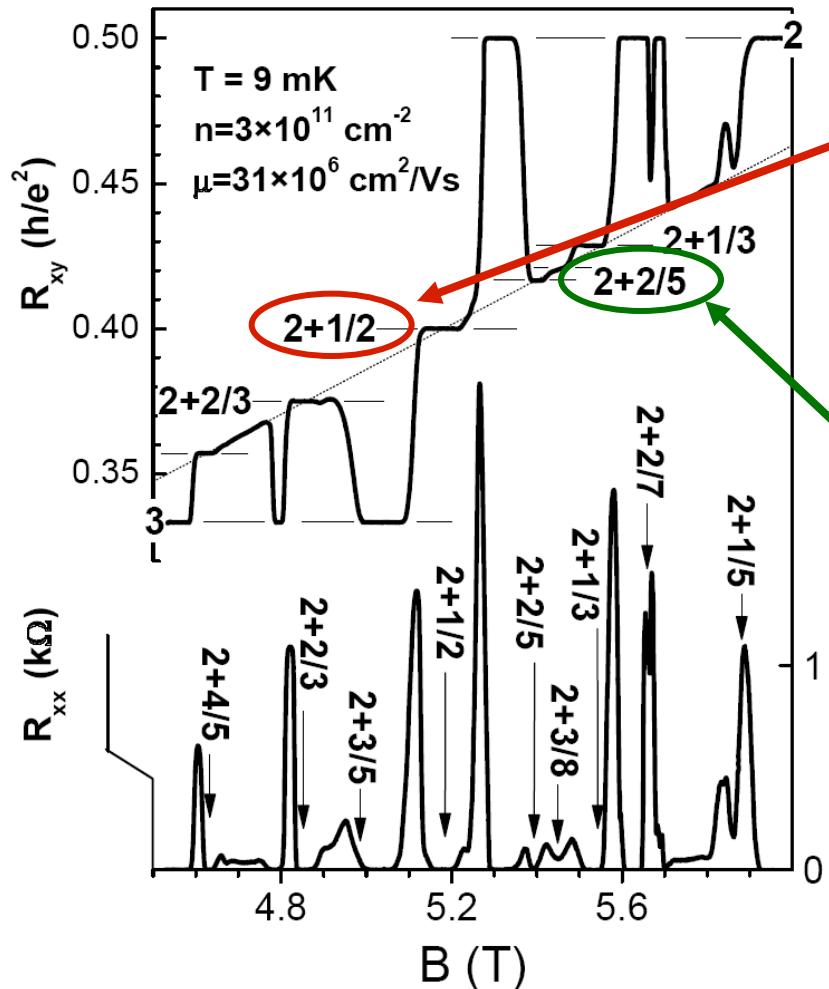
$$|\psi_i\rangle = \begin{bmatrix} \alpha \\ \beta \end{bmatrix}$$

*Topological Quantum Computer*

Kitaev, '97; Freedman, Larsen and Wang '00



# Non-Abelian FQH States



$\nu = 5/2$ : Believed to be the Moore-Read “Pfaffian” state.  
*Moore and Read, '91; Morf, '98*

*Not sufficiently rich non-Abelian statistics for pure topological quantum computing.*

$\nu = 12/5$ : Possibly a  $k = 3$  Read-Rezayi “Parafermion” state.

*Read and Rezayi, '99; Rezayi and Read, '06*



*Fibonacci Anyons*

***Good for quantum computation!***

*J.S. Xia et al., '04*

*But these states are very delicate – hard to stabilize and manipulate.*

# 2D Bosons under Magnetic Field

*Ultracold atomic gasses (usually bosons)*

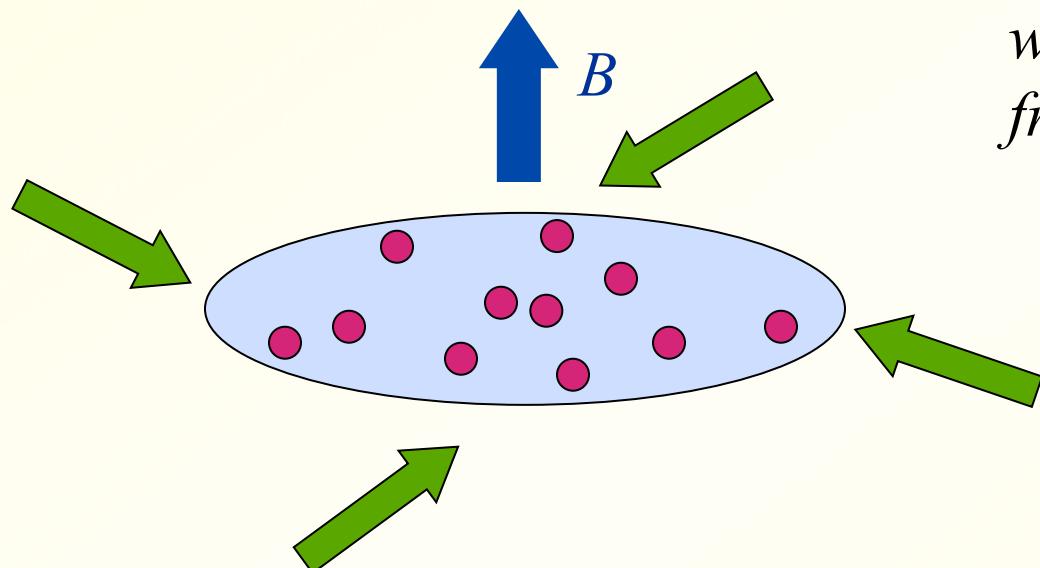
*Synthetic magnetic field (rotation, laser-induces fields)*

*Contact interaction*



*At sufficiently small  $\nu = N / N_\phi$  we expect Bosonic versions of fractional quantum Hall states.*

*Cooper et al., '01*



$$\nu = \frac{1}{2}$$

$$\psi_L(\{z_i\}) = \prod_{i < j} (z_i - z_j)^2 e^{-\sum_i |z_i|^2 / 4}$$

$$z = x + iy$$

$$\nu = 1$$

$$\psi_{MR}(\{z_i\}) = Pf\left(\frac{1}{z_i - z_j}\right) \prod_{i < j} (z_i - z_j) e^{-\sum_i |z_i|^2 / 4}$$

*Laughlin State*

*Moore-Read State*

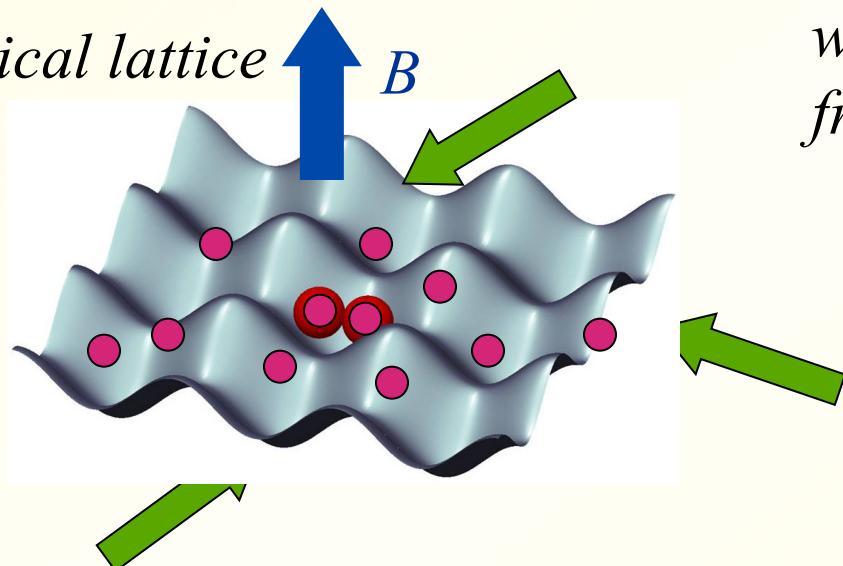
# 2D Bosons under Magnetic Field – Lattice

*Ultracold atomic gasses (usually bosons)*

*Synthetic magnetic field (rotation, laser-induces fields)*

*Contact interaction*

*Optical lattice*



*At sufficiently small  $\nu = N / N_\phi$  we expect Bosonic versions of fractional quantum Hall states.*

*Cooper et al., '01*

*How do the quantum Hall states modify in the presence of a lattice?*

$$\nu = \frac{1}{2}$$

$$\psi_L(\{z_i\}) = \prod_{i < j} (z_i - z_j)^2 e^{-\sum_i |z_i|^2 / 4}$$

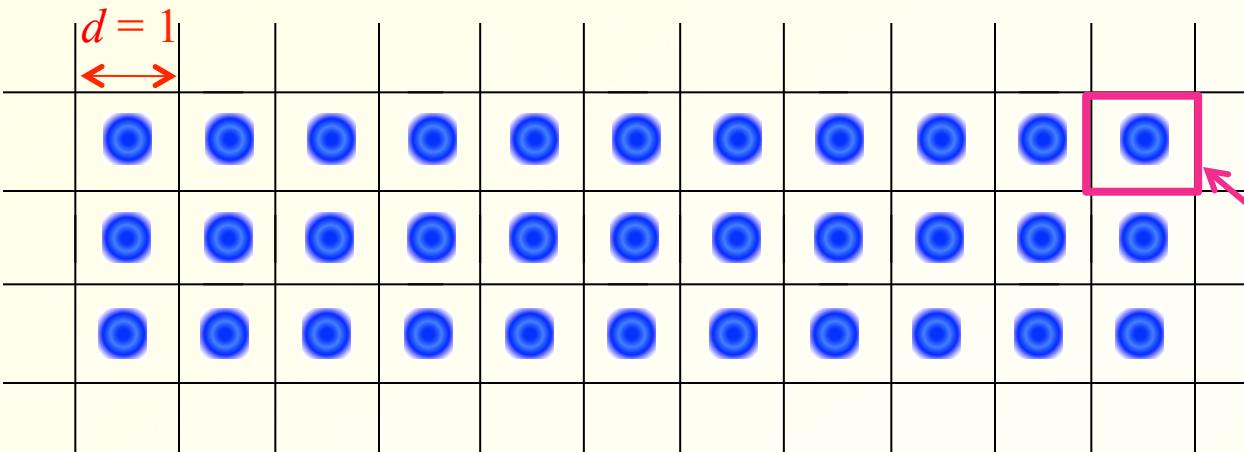
$$\nu = 1$$

$$\psi_{MR}(\{z_i\}) = Pf\left(\frac{1}{z_i - z_j}\right) \prod_{i < j} (z_i - z_j) e^{-\sum_i |z_i|^2 / 4}$$

*Laughlin State*

*Moore-Read State*

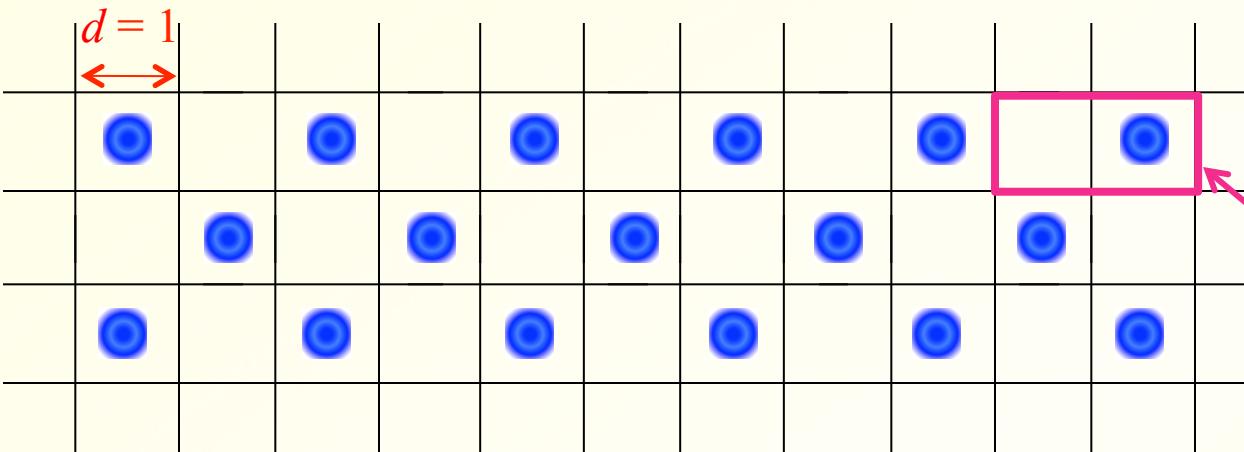
# 2D Bosons under Magnetic Field – Lattice



$$\text{Flux density} \quad n_\phi = \frac{Bd^2}{h/e}$$

$$0 \leq n_\phi < 1$$

# 2D Bosons under Magnetic Field – Lattice



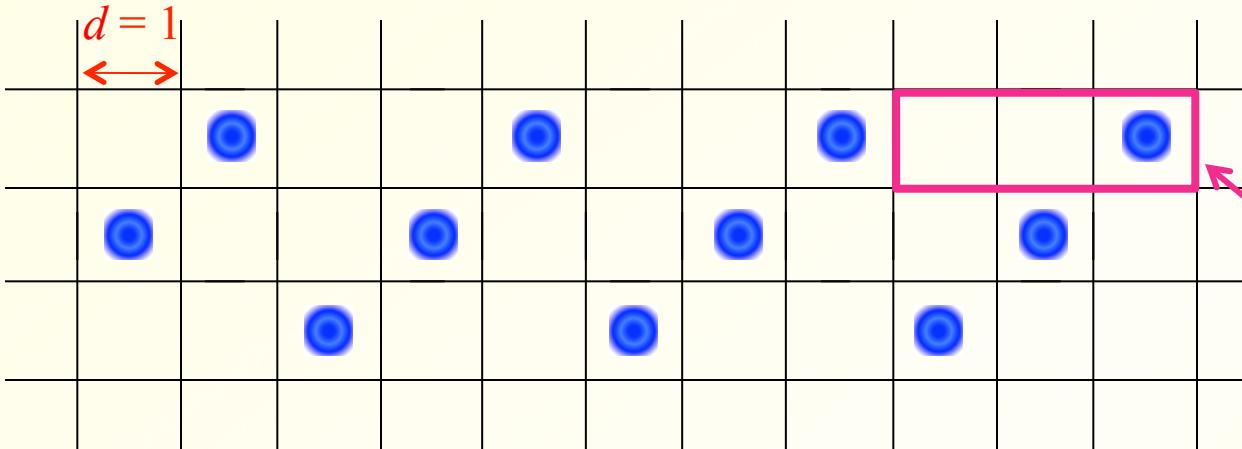
$$n_\phi = \frac{1}{2}$$

*Magnetic unit cell  
= 2 lattice plaquettes*

Flux density  $n_\phi = \frac{Bd^2}{h/e}$

$$0 \leq n_\phi < 1$$

# 2D Bosons under Magnetic Field – Lattice



$$n_\phi = \frac{1}{3}$$

Magnetic unit cell  
= 3 lattice plaquettes

Flux density  $n_\phi = \frac{Bd^2}{h/e}$

$$n_\phi = \frac{p}{q} \rightarrow p \text{ flux quanta per } q \text{ plaquettes}$$

$$0 \leq n_\phi < 1$$

$n_\phi \ll 1 \rightarrow$  Effectively the continuum limit

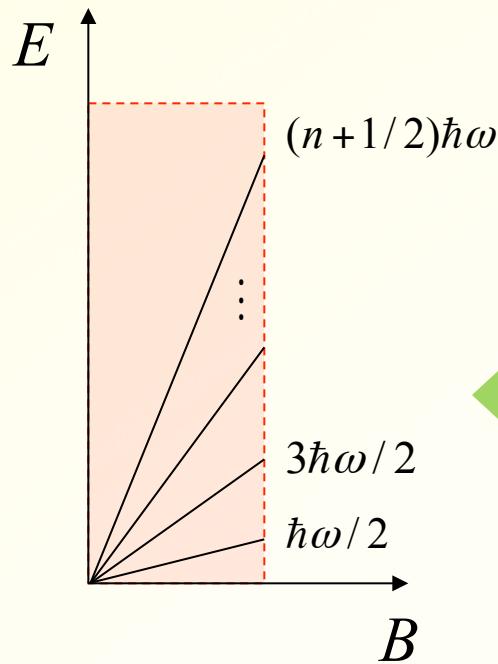
Sorensen et al., PRL '05  
Hafezi et al., PRA '07

$n_\phi \sim p/q \rightarrow$  Map the lattice to a multi-layer model in the continuum limit.

Palmer and Jacksch, PRL '06  
Moller and Cooper, PRL '09  
Powell et al., PRL '10

# Charged Particles in a Magnetic Field – Single Particle Picture

*Continuum*

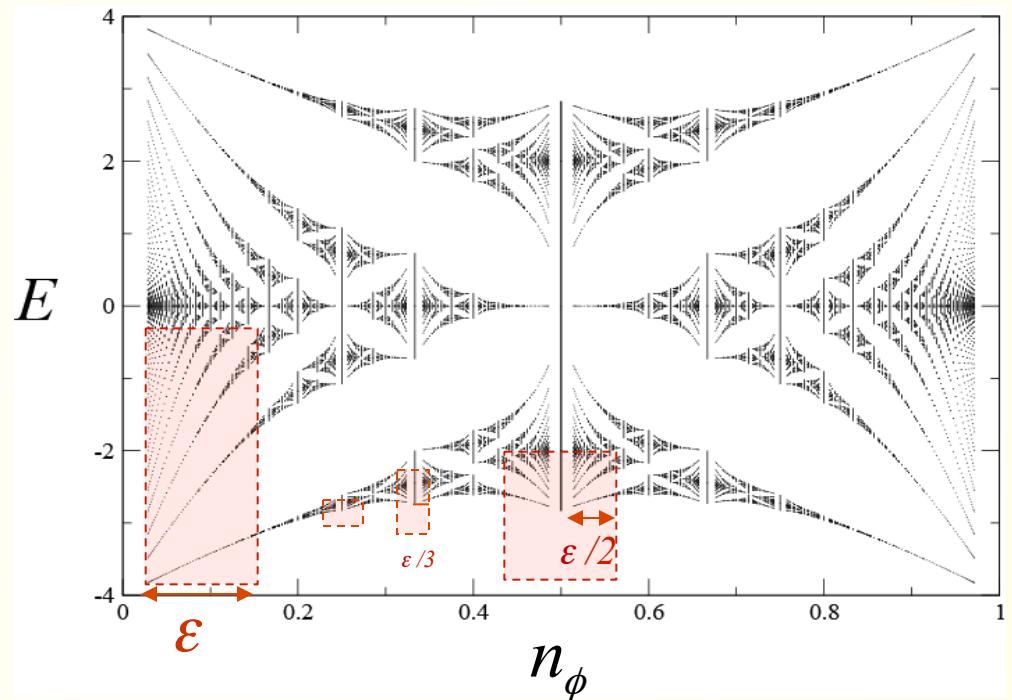


*Landau Levels*

$$E_n = (n + 1/2)\hbar\omega$$

$$\omega = qB/m$$

*Lattice*



*Hofstadter Butterfly*

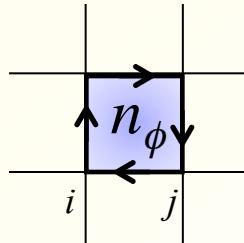
Hofstadter, '76

*Map the lattice near rational  $n_\phi$  to a model in the continuum!*

# Non-interacting System: $n_\phi = \varepsilon \ll 1$

Hofstadter, '76  
Palmer and Jacksch, '06

$$H = -J \sum_{\langle ij \rangle} (e^{i\theta_{ij}} c_i^\dagger c_j + h.c.)$$



$$\theta_{ij} = \frac{2\pi}{h/e} \int_i^j \vec{A} \cdot d\vec{l}$$

Landau Gauge  
 $\vec{A} = (0, -Bx, 0)$

$$\rightarrow \psi_k(x, y) \sim \phi(x) e^{iky}$$

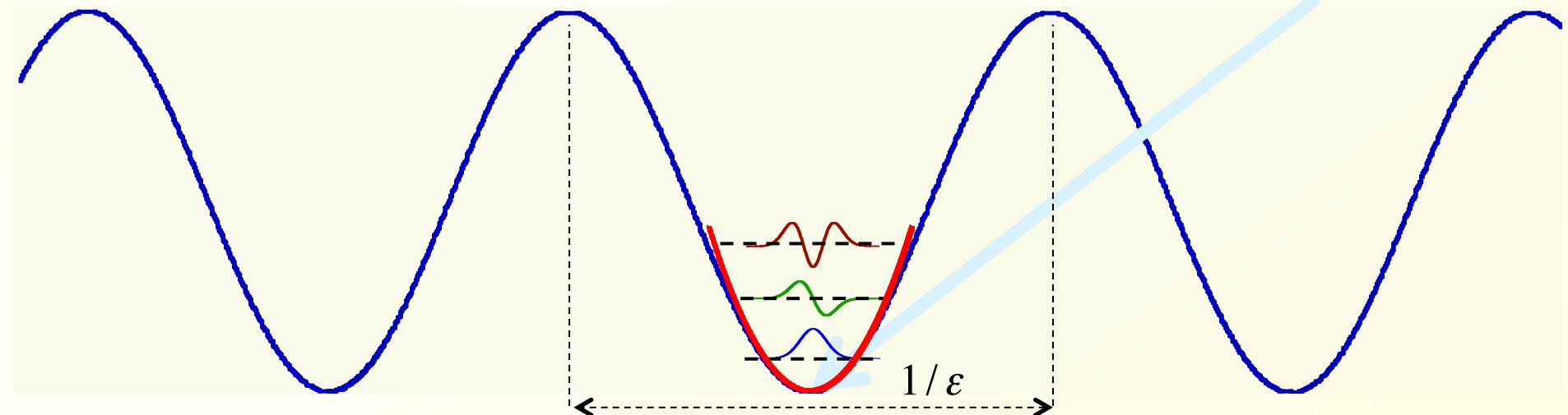
$$-\phi(x+1) - \phi(x-1) - 2\cos(2\pi n_\phi x - k)\phi(x) = E/J\phi(x)$$

$$l_0 = \frac{1}{\sqrt{2\pi\varepsilon}}$$

$$n_\phi = \varepsilon \ll 1$$

$$\rightarrow -\partial_x^2 \phi(x) + 2\pi\varepsilon(x - x_k)^2 \phi(x) = \tilde{E}\phi(x)$$

$$x_k = \frac{k + 2m\pi}{2\pi\varepsilon}$$



# Non-interacting System: $n_\phi = \varepsilon \ll 1$

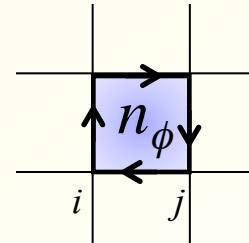
$$H = -J \sum_{\langle ij \rangle} (e^{i\theta_{ij}} c_i^\dagger c_j + h.c.)$$

Landau Gauge  
 $\vec{A} = (0, -Bx, 0)$

$$H = -\partial_x^2 + 2\pi\varepsilon(x - x_k)^2$$

$$x_k = \frac{k}{2\pi\varepsilon}$$

Hofstadter, '76  
 Palmer and Jacksch, '06

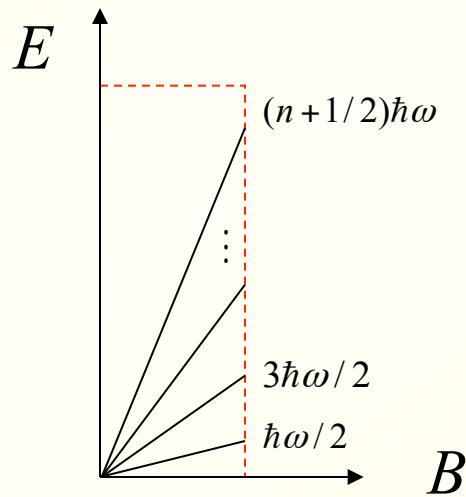


$$\theta_{ij} = \frac{2\pi}{h/e} \int_i^j \vec{A} \cdot d\vec{l}$$

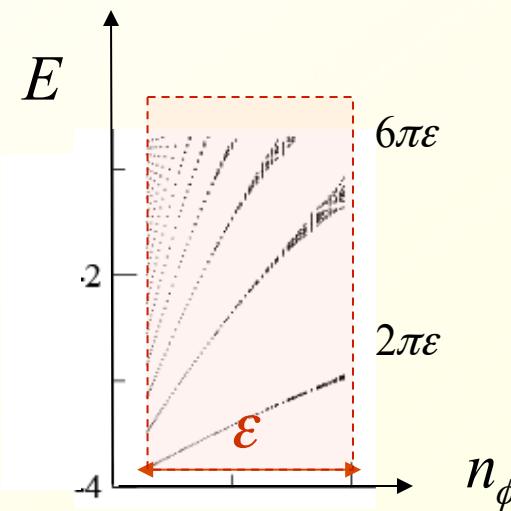
$$\sum_{\text{plaquette}} \theta_{ij} = 2\pi n_\phi$$

Ground State  $\rightarrow \psi_k(x, y) \sim e^{-\pi\varepsilon(x-x_k)^2} e^{iky}$   $\rightarrow$  Lowest Landau Level

Energy Spectrum  $\rightarrow E_n = 4\pi\varepsilon J(n + 1/2)$



$$\hbar\omega \equiv 4J\pi\varepsilon$$



# Non-interacting System: $n_\phi = 1/2 + \varepsilon$

$$H = -J \sum_{\langle ij \rangle} (e^{i\theta_{ij}} c_i^\dagger c_j + h.c.)$$

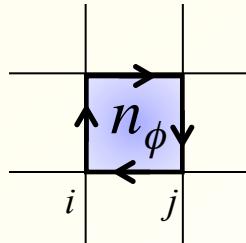
Landau Gauge  
 $\vec{A} = (0, -Bx, 0)$

$$\rightarrow \psi_k(x, y) \sim \phi(x) e^{iky}$$

$$-\phi(x+1) - \phi(x-1) - 2\text{Cos}(2\pi n_\phi x - k)\phi(x) = E/J\phi(x)$$

$$-2\text{Cos}(\pi x + 2\pi\varepsilon x - k)$$

$$-2(-1)^x \text{Cos}(2\pi\varepsilon x - k)$$



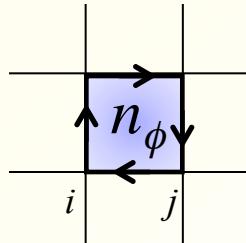
Hofstadter, '76  
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$$\theta_{ij} = \frac{2\pi}{h/e} \int_i^j \vec{A} \cdot d\vec{l}$$

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# Non-interacting System: $n_\phi = 1/2 + \varepsilon$

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Landau Gauge  
 $\vec{A} = (0, -Bx, 0)$

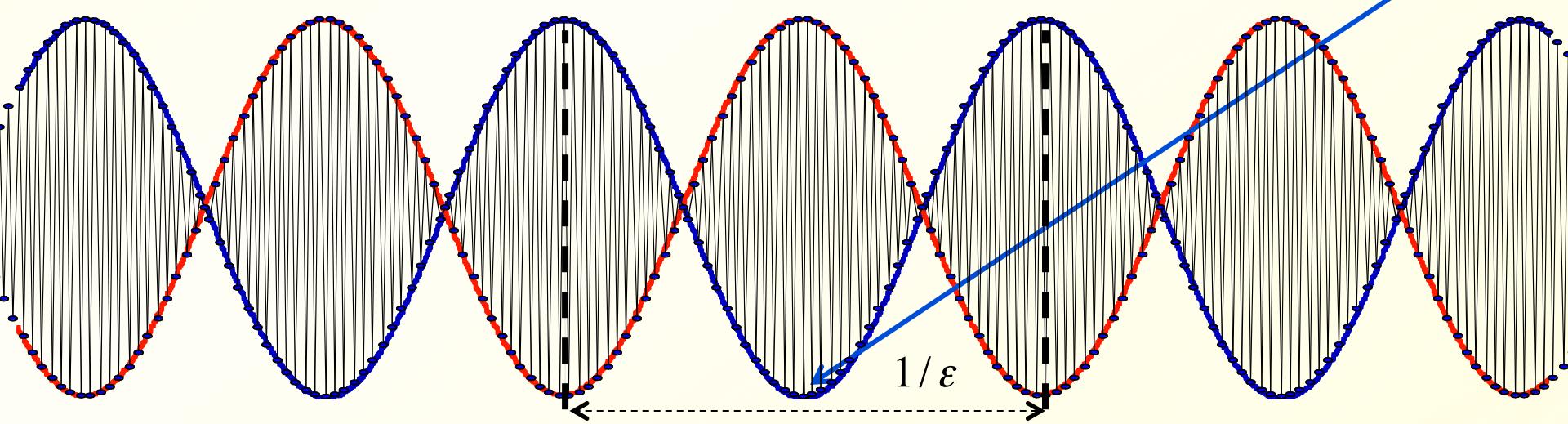
$$\psi_k(x, y) \sim \phi(x) e^{iky}$$

$$-\phi(x+1) - \phi(x-1) - 2(-1)^x \cos(2\pi\varepsilon x - k)\phi(x) = E/J\phi(x)$$

$$\varepsilon \ll 1$$

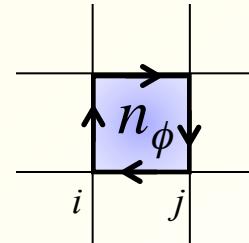
$$-\partial_x^2 \phi(x) + 2\pi\varepsilon(-1)^x (x - x_k)^2 \phi(x) = \tilde{E}\phi(x)$$

$$x_k = \frac{k + 2m\pi}{2\pi\varepsilon}$$



# Non-interacting System: $n_\phi = 1/2 + \varepsilon$

$$H = -J \sum_{\langle ij \rangle} (e^{i\theta_{ij}} c_i^\dagger c_j + h.c.)$$



Hofstadter, '76  
Palmer and Jacksch, '06

$$\theta_{ij} = \frac{2\pi}{h/e} \int_i^j \vec{A} \cdot d\vec{l}$$

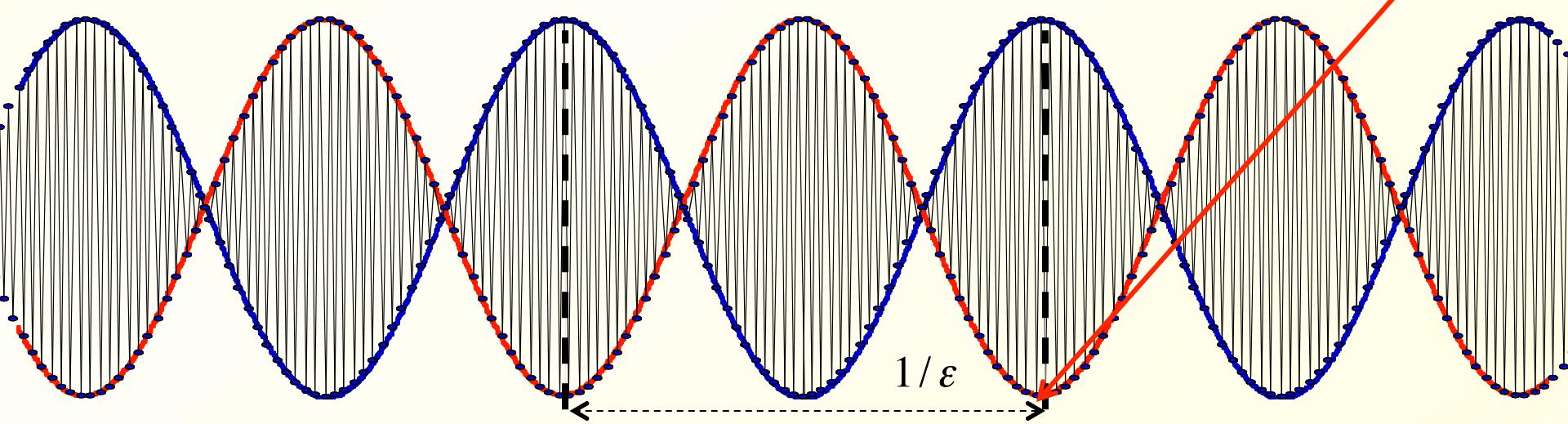
Landau Gauge  
 $\vec{A} = (0, -Bx, 0)$

$$\rightarrow \psi_k(x, y) \sim \phi(x) e^{iky}$$

$$-\phi(x+1) - \phi(x-1) - 2(-1)^x \cos(2\pi\varepsilon x - k)\phi(x) = E/J\phi(x)$$

$$\sum_{\text{plaquette}} \theta_{ij} = 2\pi n_\phi$$

$$\varepsilon \ll 1 \rightarrow -\partial_x^2 \phi(x) + 2\pi\varepsilon(-1)^{x+1}(x - x_{k+\pi})^2 \phi(x) = \tilde{E}\phi(x) \quad x_{k+\pi} = \frac{k + 2m\pi + \pi}{2\pi\varepsilon}$$



# Non-interacting System: $n_\phi = 1/2 + \varepsilon$

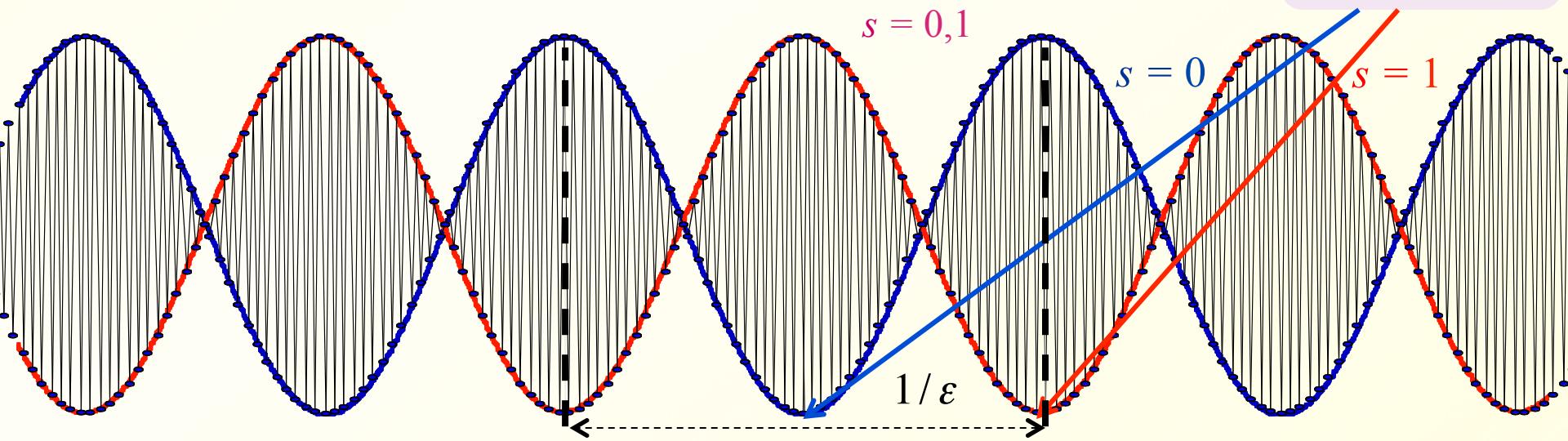
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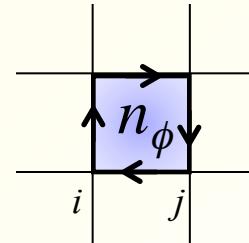
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$$\varepsilon \ll 1 \rightarrow -\partial_x^2 \phi(x) + 2\pi\varepsilon(-1)^{x+s} (x - x_{k+s\pi})^2 \phi(x) = \tilde{E}\phi(x) \quad x_{k+s\pi} = \frac{k + 2m\pi + s\pi}{2\pi\varepsilon}$$



Hofstadter, '76  
 Palmer and Jacksch, '06



$$\theta_{ij} = \frac{2\pi}{h/e} \int_i^j \vec{A} \cdot d\vec{l}$$

$$\sum_{\text{plaquette}} \theta_{ij} = 2\pi n_\phi$$

# Non-interacting System: $n_\phi = 1/2 + \varepsilon$

Palmer and Jacksch, '06

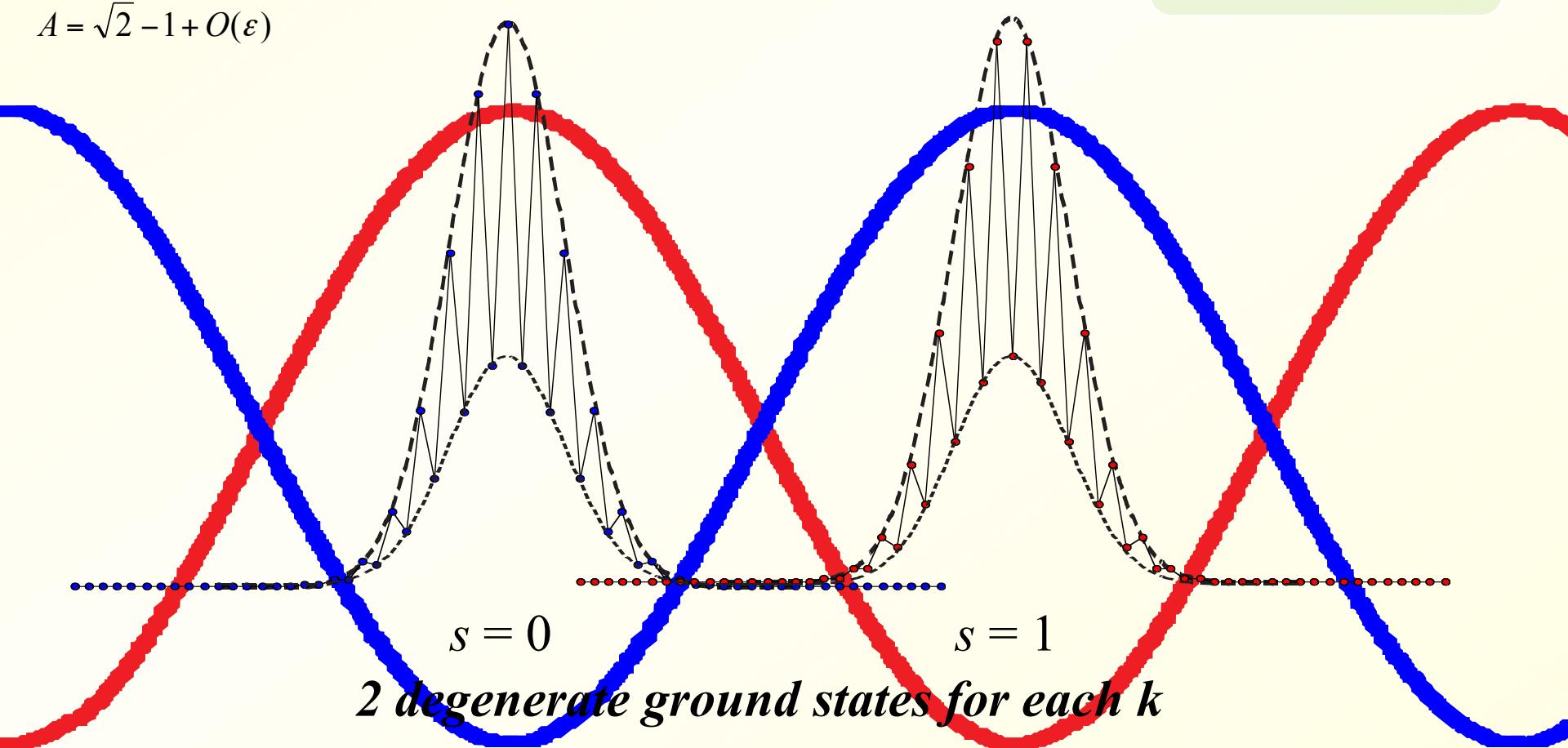
Ground State Ansatz  $\rightarrow \psi_{k,s}(x,y) \sim F_s(x) e^{-\pi\varepsilon(x-x_{k-s\pi})^2} e^{iky}$

$$F_s(x) = (1 + (-1)^{s+x} A)$$

$s = 0, 1 \rightarrow$  band index

$$x_{k-s\pi} = \frac{k - s\pi}{2\pi\varepsilon}$$

$$A = \sqrt{2} - 1 + O(\varepsilon)$$



# Non-interacting System: $n_\phi = 1/2 + \varepsilon$

Palmer and Jacksch, '06

Ground State Ansatz  $\rightarrow \psi_{k,s}(x,y) \sim F_s(x) e^{-\pi\varepsilon(x-x_{k-s\pi})^2} e^{iky}$

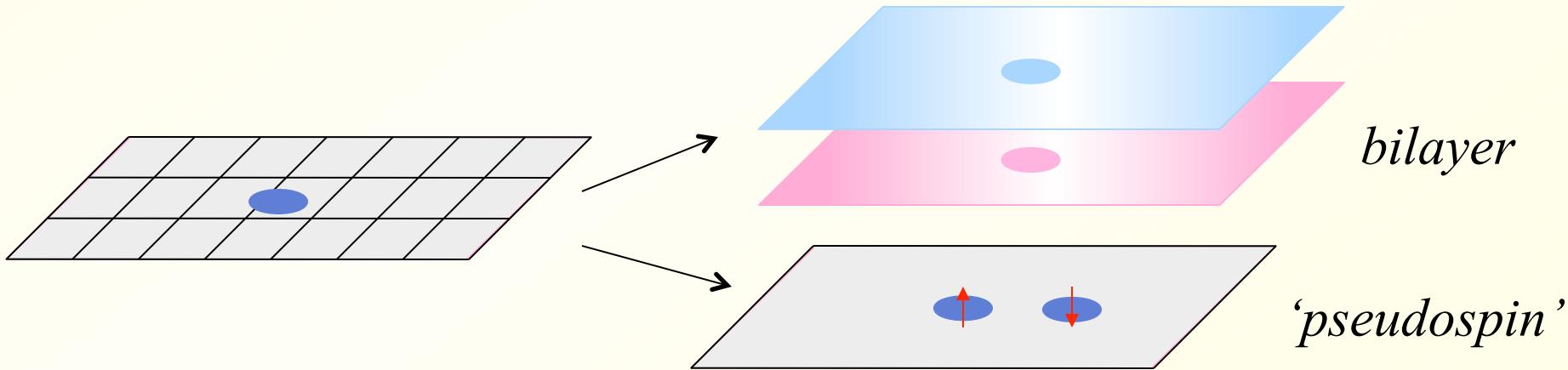
$$F_s(x) = (1 + (-1)^{s+x} A)$$

$$s = 0, 1$$

*band index*  $\rightarrow$  2-fold degeneracy

$$A = \sqrt{2} - 1 + O(\varepsilon)$$

Similar to the continuum case ( $n_\phi = \varepsilon$ ) but now with a *form factor* that depends on *band index*.



By introducing the *band index* we can map the energy spectrum near  $n_\phi = 1/2$  to Landau levels with each level being two-fold degenerate.

# Interacting System

Haldane, '83

Cooper, '08

*Interaction*

$$\hat{U} = \frac{1}{2} \sum_{k_1 k_2 k_3 k_4} U_{k_1 k_2 k_3 k_4} c_{k_1}^\dagger c_{k_2}^\dagger c_{k_3} c_{k_4}$$

$$U_{k_1 k_2 k_3 k_4} = \int dr_1 dr_2 U(r_1 - r_2) \psi_{k_1}^*(r_1) \psi_{k_2}^*(r_2) \psi_{k_3}(r_2) \psi_{k_4}(r_1)$$

$$\psi_{k_i}(r_\alpha)$$

single-particle basis states  
at the lowest Landau level

We carry out exact diagonalization of the potential for finite size systems.

*Contact Interaction*

$$\hat{U} = U \sum_{i < j} \delta(r_i - r_j)$$

*Continuum Limit*

$$n_\phi = \varepsilon \ll 1$$

*Single Particle Ground State*

$$\psi_k(x, y) \sim e^{-\pi\varepsilon(x-x_k)^2} e^{iky}$$



$$U_{k_1 k_2 k_3 k_4} = U \sqrt{\varepsilon} e^{-\sum_{i < j} (k_i - k_j)^2 / (16\pi\varepsilon)} \delta_{k_1 + k_2, k_3 + k_4}$$



$$\forall i, j \quad k_i \approx k_j$$

# Interacting Case Near $n_\phi = 1/2$

*Single Particle  
Ground State*

$$\psi_k(x, y) \sim e^{-\pi\varepsilon(x-x_k)^2} e^{iky}$$

*Continuum*  
 $n_\phi = \varepsilon \ll 1$



$$U_{k_1 k_2 k_3 k_4} = U \sqrt{\varepsilon} e^{-\sum_{i < j} (k_i - k_j)^2 / (16\pi\varepsilon)} \delta_{k_1 + k_2, k_3 + k_4}$$

*Single Particle  
Ground State*

$$\psi_{k,s}(x, y) \sim F_s(x) e^{-\pi\varepsilon(x-x_{k-s\pi})^2} e^{iky}$$

$$n_\varphi = \frac{1}{2} + \varepsilon$$

$$F_s(x) = (1 + (-1)^{s+x} A)$$



$$U_{k_1 k_2 k_3 k_4} = U \sqrt{\varepsilon} G_{s_1 s_2 s_3 s_4} e^{-\sum_{i < j} (k_i - k_j - \pi(s_i - s_j))^2 / (16\pi\varepsilon)} \delta_{k_1 + k_2, k_3 + k_4}$$

*Similar to the continuum case but now  
with matrix elements that depend on  
pseudospin.*

$$G_{s_1 s_2 s_3 s_4} = \frac{1}{2} \sum_{x=0}^1 F_{s_1}(x) F_{s_2}(x) F_{s_3}(x) F_{s_4}(x)$$

# Interacting Case at $n_\phi = 1/2 + \varepsilon$

Pseudospin

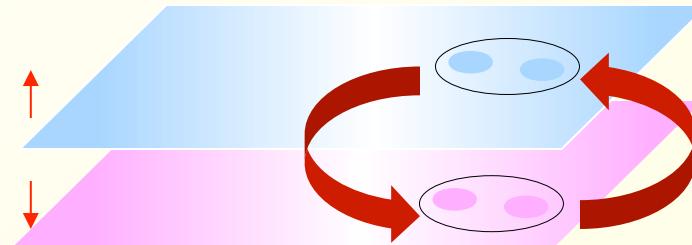
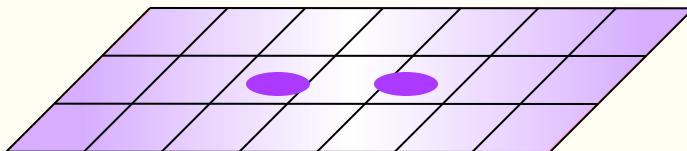
$$U_{k_1 k_2 k_3 k_4} = 2U\sqrt{\varepsilon} \begin{pmatrix} 1 & & & -\pi\varepsilon \\ & 1 & 1 & \\ & 1 & 1 & \\ -\pi\varepsilon & & & 1 \end{pmatrix} e^{-\sum_{i<j} (k_i - k_j - \pi(s_i - s_j))^2 / (16\pi\varepsilon)} \delta_{k_1 + k_2, k_3 + k_4}$$


$G_{s_1 s_2 s_3 s_4}$

Non-pseudospin conserving terms

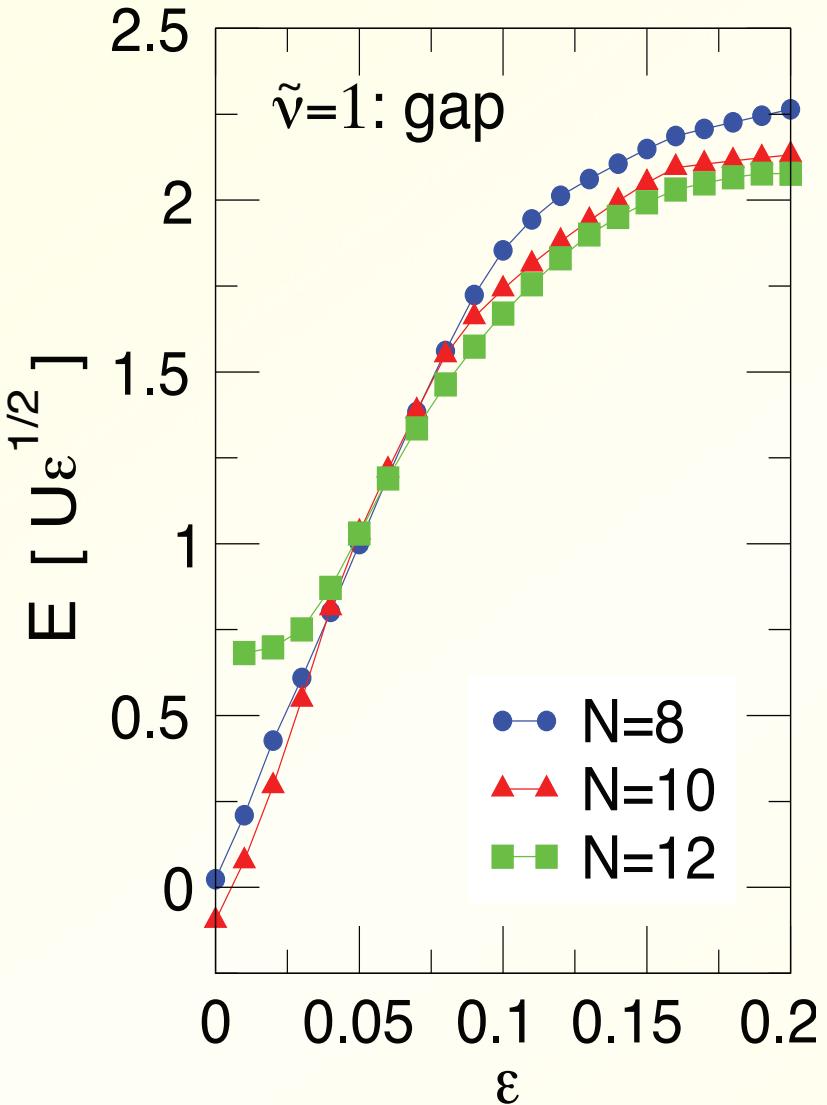
$k_1 + k_2 = k_3 + k_4 + 2\pi$   
umklapp scattering

Pairs of particles can flip their pseudospin/tunnel between layers.



Can a pairing process be observed in an incompressible state?

# Incompressible States



*There is an incompressible state at  $v = 1$  that becomes more robust as  $\epsilon$  increases.*

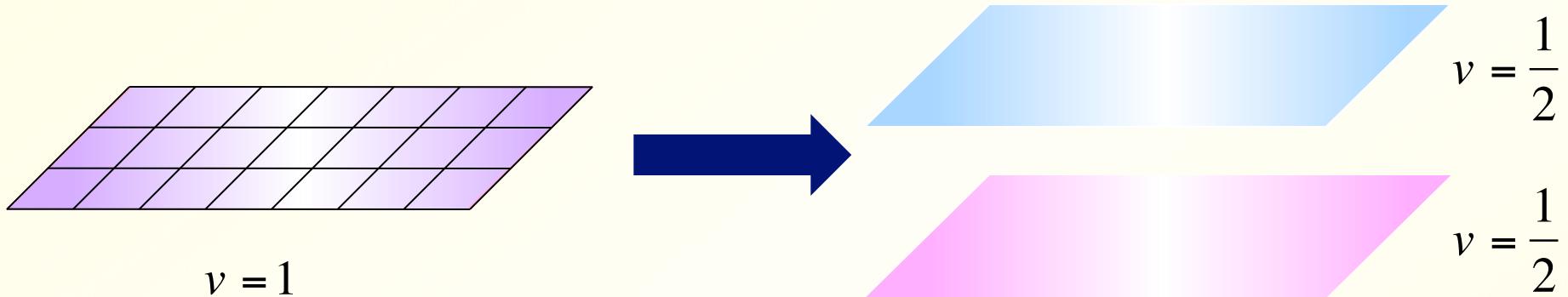
*Gap closes as  $\epsilon$  vanishes!*

→ *The  $\epsilon$ -dependent off-diagonal matrix elements seem to stabilize the incompressible state.*

# Trial Wavefunction

Near flux density  $n_\phi = \frac{1}{2}$ : Effectively a bilayer system

$$\nu = \frac{1}{2} + \frac{1}{2}$$



Continuum

$$\nu = \frac{1}{2}$$

$$\Psi_L(\{z_i\}) = \prod_{i < j} (z_i - z_j)^2$$

$$z = x + iy$$

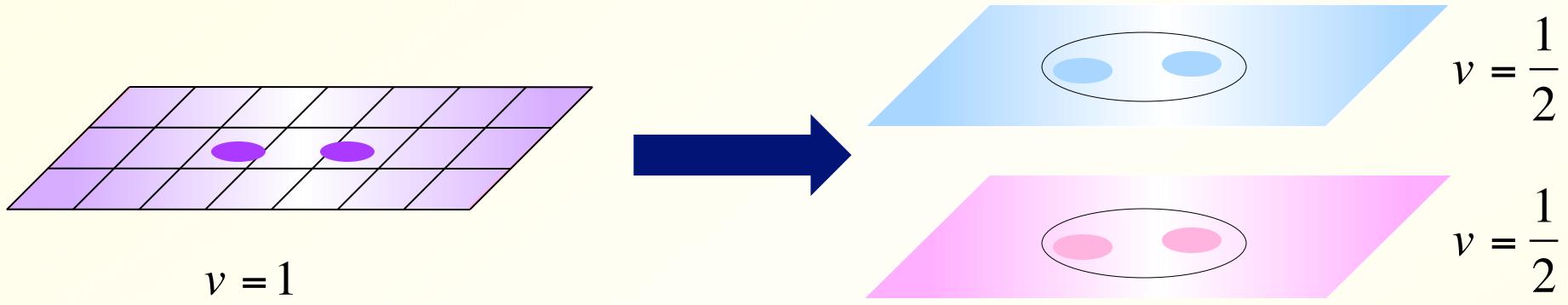
$$n_\phi = \frac{1}{2} + \varepsilon \quad \cancel{\Psi(\{z_i\})_{trial} = \prod_{i < j} (z_i^{\uparrow} - z_j^{\uparrow})^2 \prod_{i < j} (z_i^{\downarrow} - z_j^{\downarrow})^2}$$

- Does not prevent particles of opposite pseudospin from approaching one another --- not energetically favorable.
- The overlap is not good either.

# Trial Wavefunction

Near flux density  $n_\phi = \frac{1}{2}$ : Effectively a bilayer system

$$\nu = \frac{1}{2} + \frac{1}{2}$$



*Continuum*       $\Psi_{MR}(\{z_i\}) = Pf\left(\frac{1}{z_i - z_j}\right) \prod_{i < j} (z_i - z_j) \quad \nu = 1$

$$n_\phi = \frac{1}{2} + \varepsilon$$

$$\Psi(\{z_i\})_{trial} = \prod_{i < j} (z_i^\uparrow - z_j^\uparrow) Pf\left(\frac{1}{z_i^\uparrow - z_j^\uparrow}\right) \prod_{i < j} (z_i^\downarrow - z_j^\downarrow) Pf\left(\frac{1}{z_i^\downarrow - z_j^\downarrow}\right)$$

- Prevents particles with opposite pseudospin from approaching one another
- The pfaffian factors permit the particles with the same pseudospin to pair up, which is consistent with our pairing conjecture.

# How Good is the Trial Wave function?

$$\Psi(\{z_i\})_{trial} = \prod_{i < j} (z_i^\uparrow - z_j^\uparrow) Pf\left(\frac{1}{z_i^\uparrow - z_j^\uparrow}\right) \prod_{i < j} (z_i^\downarrow - z_j^\downarrow) Pf\left(\frac{1}{z_i^\downarrow - z_j^\downarrow}\right) \prod_{i \neq j} (z_i^\uparrow - z_j^\downarrow)$$

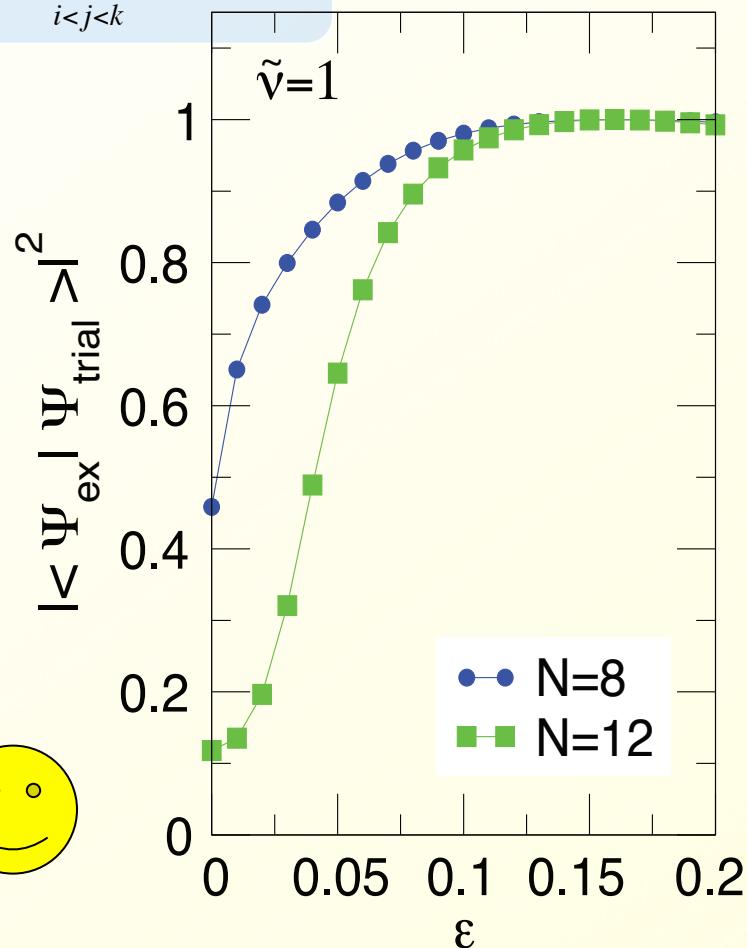
e x a c t  
groundstate!

$$H_{model} = \sum_{i < j < k} \delta(z_i^\uparrow - z_j^\uparrow) \delta(z_j^\uparrow - z_k^\uparrow) + \sum_{i < j < k} \delta(z_i^\downarrow - z_j^\downarrow) \delta(z_j^\downarrow - z_k^\downarrow) + \sum_{i < j < k} \delta(z_i^\uparrow - z_j^\downarrow)$$

$$H_{real} \sim \sum_{k_i s_i} G_{s_i} e^{-\sum_{i < j} (k_i - k_j - \pi(s_i - s_j))^2 / (16\pi\varepsilon)} \delta_{k_1+k_2, k_3+k_4} c_{s_1}^\dagger c_{s_2}^\dagger c_{s_3}^\dagger c_{s_4}^\dagger$$

$$G = \begin{pmatrix} \uparrow\uparrow & \uparrow\downarrow & \downarrow\uparrow & \downarrow\downarrow \\ 1 & & & -\pi\varepsilon \\ & 1 & 1 & \\ & 1 & 1 & \\ -\pi\varepsilon & & & 1 \end{pmatrix}$$

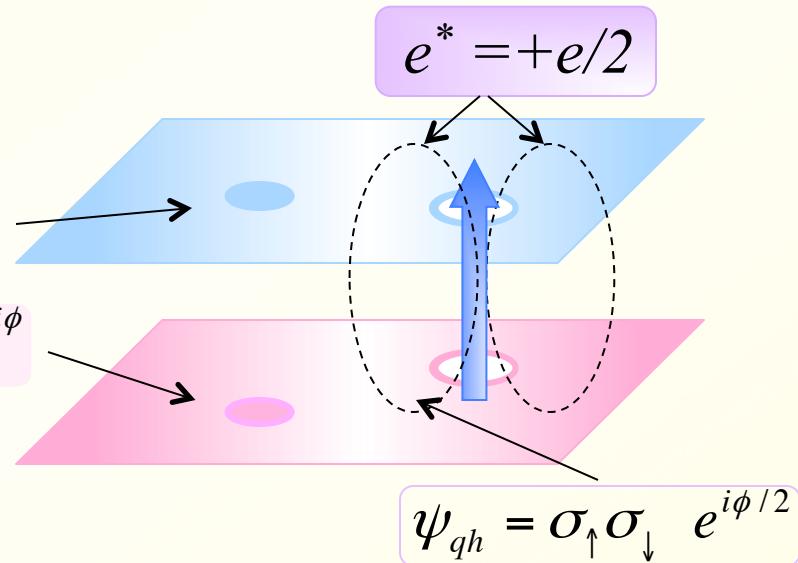
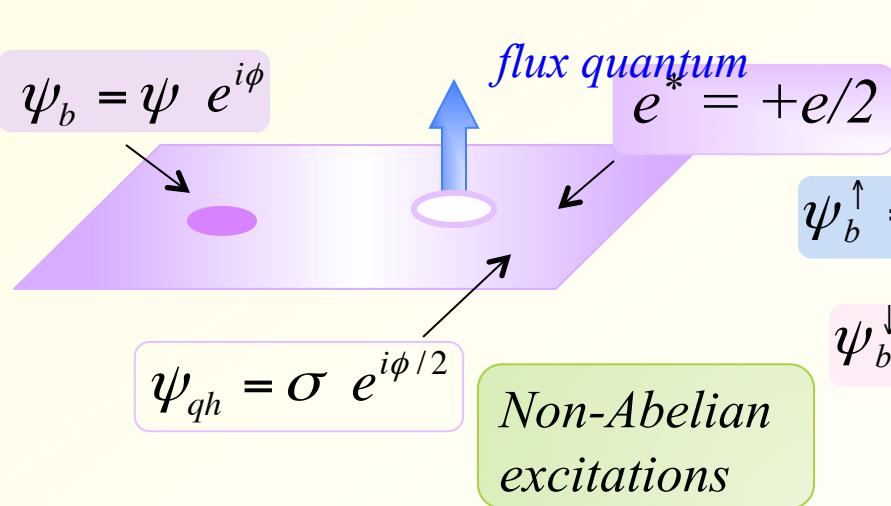
For  $\varepsilon = 0.16$ , the overlap is 0.99999!



# Abelian or Not?

*Moore-Read State*

*Two coupled Moore-Read States*



Ising CFT:  $\{1, \sigma, \psi\}$

2 *coupled* copies of Ising CFT:  $\{1, \sigma^\uparrow, \psi^\uparrow\} \times \{1, \sigma^\downarrow, \psi^\downarrow\}$

Free Chiral Bose Field:  $\phi$

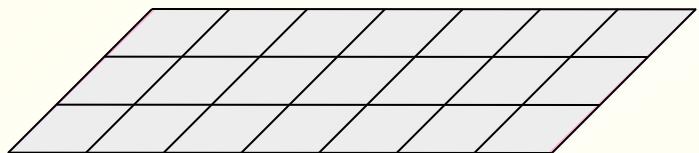
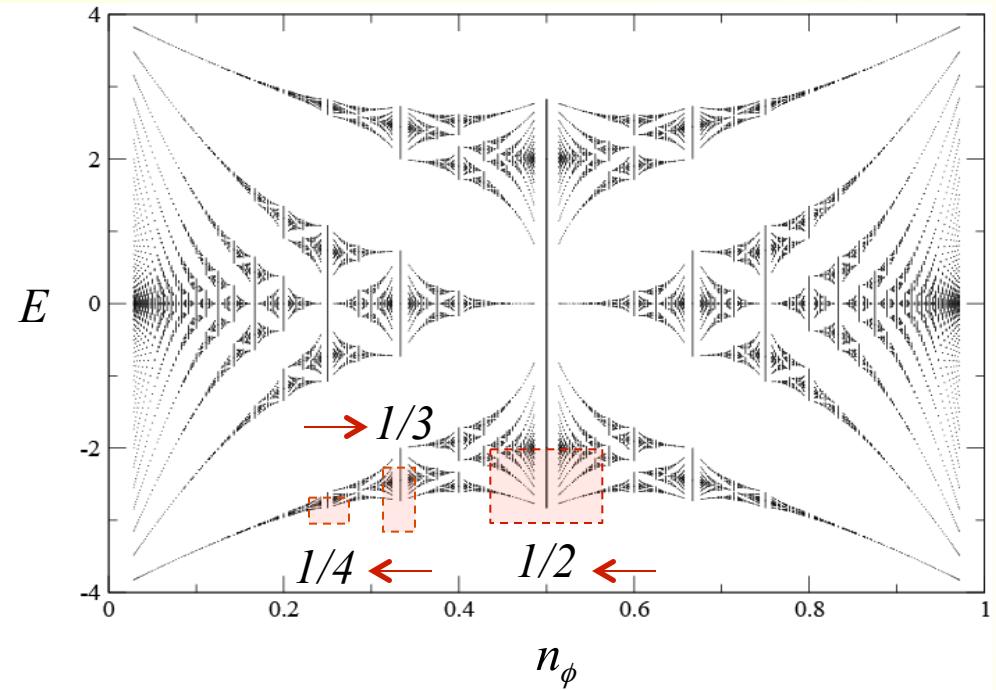
*The combined excitations  
are effectively Abelian.*

$$\Psi_g(\{z_i\}) = \langle \psi_b(z_1) \psi_b(z_2) \dots \psi_b(z_N) \rangle$$

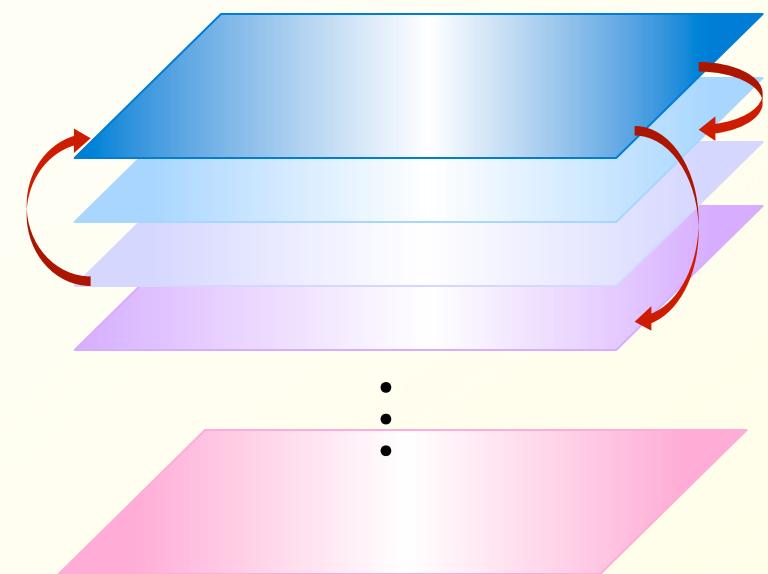
$\downarrow$   
 $\leftarrow U(1)_4$

$$\Psi_e(\{z_i\}, \{w_i\}) = \langle \psi_b(z_1) \psi_b(z_2) \dots \psi_b(z_N) \psi_{qh}(w_1) \psi_{qh}(w_2) \dots \psi_{qh}(w_M) \rangle$$

# Generalization to $n_\phi = p/q + \varepsilon$



*Lattice near  $n_\phi = p/q + \varepsilon$*



*$q$ -layer continuum system*

*Potentially more interesting states but probably harder to realize...*

# Summary and Outlook

- Near  $n_\phi = \frac{1}{2}$   $\rightarrow$  two-fold degeneracy due to pseudospin.
- Interaction potential suggests pairing of particles with the same pseudospin.
- At  $v = 1$  pairing terms stabilize the groundstate.
- Trial wave function for the groundstate of  $v = 1$  has excellent overlap with ED result and the excitation spectrum matches the prediction for coupled Moore Read states.

$$\Psi(\{z_i\})_{trial} = \prod_{i < j} (z_i^\uparrow - z_j^\uparrow) Pf\left(\frac{1}{z_i^\uparrow - z_j^\uparrow}\right) \prod_{i < j} (z_i^\downarrow - z_j^\downarrow) Pf\left(\frac{1}{z_i^\downarrow - z_j^\downarrow}\right) \prod_{i \neq j} (z_i^\uparrow - z_j^\downarrow)$$

- Pairing terms might be important for other filling fractions, flux densities, other types of interactions, fermions, etc.

- ‘Dislocations’  $\rightarrow$  modifying topology?  $\rightarrow$  Non-Abelian States?