CHAPTER 7: APPROXIMATION METHODS FOR TIME-DEPENDENT PROBLEMS

(From Cohen-Tannoudji, Chapter XIII)

A. STATEMENT OF THE PROBLEM

Consider a system with Hamiltonian \hat{H}_0 ; its eigenvalues and eigenvectors are

$$\hat{H}_0|\varphi_n\rangle = E_n|\varphi_n\rangle \tag{7.1}$$

 $(\hat{H}_0 \text{ is discrete and non-degenerate for simplicity.})$

At t = 0, a perturbation is applied

$$\hat{H}(t) = \hat{H}_0 + W(t) = \hat{H}_0 + \lambda \hat{W}(t)$$
(7.2)

where $\lambda \ll 1$, and $\hat{W}(t) = 0$ for t < 0:

t < 0	t = 0	t > 0
stationary state	W(t)	final state
$ \varphi_i angle$	evolution starts	$ \psi(t) angle$
eigenstate of \hat{H}_0	$(arphi_i angle$ is not eigenstate of $\hat{H})$	

What is the probability $\mathcal{P}_{fi}(t)$ of finding the system in another eigenstate $|\varphi_f\rangle$ of \hat{H}_0 at time *t*?

Treatment: solve the Schrödinger equation (S. E.)

$$i\hbar \frac{\mathrm{d}}{\mathrm{d}t} |\psi(t)\rangle = \left[\hat{H}_0 + \lambda \hat{W}(t)\right] |\psi(t)\rangle$$
 (7.3)

with the initial condition $|\psi(0)\rangle = |\varphi_i\rangle$

$$\Rightarrow \qquad \mathcal{P}_{fi}(t) = \left| \langle \varphi_f | \psi(t) \rangle \right|^2 \tag{7.4}$$

In generally this problem is not rigorously soluble! \Rightarrow we need APPROXIMATION METHODS

B. APPROXIMATE SOLUTION OF THE SCHRÖDINGER EQUATION

1. The Schrödinger equation in the $\{|\varphi_n\rangle\}$ representation

We will use the $\{|\varphi_n\rangle\}$ representation which is convenient as $|\varphi_i\rangle$ and $|\varphi_f\rangle$ are eigenstates of \hat{H}_0 , and obtain the differential equations for the components of the state vector

$$|\psi(t)\rangle = \sum_{n} c_n(t) |\varphi_n\rangle$$
 (7.5)

$$c_n(t) = \langle \varphi_n | \psi(t) \rangle \tag{7.6}$$

$$\hat{W}_{nk}(t) = \langle \varphi_n | \hat{W}(t) | \varphi_k \rangle$$
(7.7)

and
$$\langle \varphi_n | \hat{H}_0 | \varphi_k \rangle = E_n \delta_{nk}$$
 (7.8)

We will project both sides of S.E. onto $|\varphi_n\rangle$ (and use $\sum_k |\varphi_k\rangle\langle\varphi_k| = \hat{1}$):

$$i\hbar \frac{\mathrm{d}}{\mathrm{d}t} |\psi(t)\rangle = \left[\hat{H}_0 + \lambda \hat{W}(t)\right] |\psi(t)\rangle$$
 (7.9)

$$\Rightarrow i\hbar \frac{\mathrm{d}}{\mathrm{d}t} c_n(t) = E_n c_n(t) + \sum_k \lambda \hat{W}_{nk}(t) c_k(t)$$
(7.10)

Changing functions

If $\lambda \hat{W}(t) = 0$ then the equations decouple

$$i\hbar \frac{\mathrm{d}}{\mathrm{d}t}c_n(t) = E_n c_n(t) \tag{7.11}$$

and yield simple solution

$$c_n(t) = b_n e^{-iE_n t/\hbar}$$
(7.12)

where b_n is a constant depending on the initial conditions.

If $\lambda \hat{W}(t) \neq 0$ and $\lambda \ll 1$, we expect the solutions $c_n(t)$ of the full equations to be very close to the solution above (for $\lambda \hat{W}(t) = 0$), and thus if we perform the change of function

$$c_n(t) = b_n(t)e^{-iE_nt/\hbar}$$
 (7.13)

we can predict that $b_n(t)$ will be slowly varying functions of time.

Substituted into the equation gives

$$i\hbar e^{-iE_n t/\hbar} \frac{\mathrm{d}}{\mathrm{d}t} b_n(t) + E_n b_n(t) e^{-iE_n t/\hbar}$$

= $E_n b_n(t) e^{-iE_n t/\hbar} + \sum_k \lambda \hat{W}_{nk}(t) b_k(t) e^{-iE_k t/\hbar}$ (7.14)

Multiplying both sides by $e^{iE_nt/\hbar}$ and introducing the Bohr frequency $\omega_{nk} = \frac{E_n - E_k}{\hbar}$ gives

$$i\hbar \frac{\mathrm{d}}{\mathrm{d}t} b_n(t) = \lambda \sum_k e^{i\omega_{nk}t} \hat{W}_{nk}(t) b_k(t)$$
(7.15)

2. Perturbation equations

In general, the solution is not known exactly and, for $\lambda \ll 1$, we try to determine this solution in the form of a power series in λ

$$b_n(t) = b_n^{(0)}(t) + \lambda b_n^{(1)}(t) + \lambda^2 b_n^{(2)}(t) + \dots$$
(7.16)

and substitute it into the equation, and set equal the coefficients of λ^r on both sides of the equation

i)
$$r = 0$$
: $i\hbar \frac{d}{dt} b_n^{(0)}(t) = 0$ (7.17)

ii)
$$r \neq 0$$
: $i\hbar \frac{\mathrm{d}}{\mathrm{d}t} b_n^{(r)}(t) = \sum_k e^{i\omega_{nk}t/\hbar} \hat{W}_{nk}(t) b_k^{(r-1)}(t)$ (7.18)

RECURRENCE!

3. Solution to the first order in $\boldsymbol{\lambda}$

a. The state of the system at time t

$$t < 0: \quad |\varphi_i\rangle \text{ i.e. } b_i(t) \neq 0, b_k(t) = 0 \forall k \neq i$$
(7.19)

$$t = 0$$
: $\hat{H}_0 \rightarrow \hat{H}_0 + \lambda \hat{W}$ and solution of S.E. is continuous at $t = 0$ (7.20)

$$\Rightarrow b_n(t=0) = \delta_{ni} \,\forall \lambda \tag{7.21}$$

$$\Rightarrow b_n^{(0)}(t=0) = \delta_{ni} \tag{7.22}$$

$$\Rightarrow b_n^{(r)}(t=0) = 0 \text{ if } r \ge 1$$
(7.23)

and with $i\hbar \frac{d}{dt}b_n^{(0)}(t) = 0$ we get

$$0^{\text{th}}$$
-order solution: $b_n^{(0)}(t) = \delta_{ni}$ for all $t > 0$

$$1^{\text{st}} - \text{order: } i\hbar \frac{\mathrm{d}}{\mathrm{d}t} b_n^{(1)}(t) = \sum_k e^{i\omega_{nk}t} \hat{W}_{nk}(t) \delta_{ki}$$
(7.24)

$$= e^{i\omega_{ni}t}\hat{W}_{ni}(t) \tag{7.25}$$

By integration
$$b_n^{(1)}(t) = \frac{1}{i\hbar} \int_0^t e^{i\omega_{ni}t'} \hat{W}_{ni}(t') dt'$$
 (7.26)

$$c_n(t) = b_n(t)e^{-iE_nt/\hbar} \approx \left(b_n^{(0)}(t) + \lambda b_n^{(1)}(t)\right)e^{-iE_nt/\hbar}$$
 (7.27)

to the first order time-dependent perturbation theory we get the state of the system at time *t* calculated to the first order:

$$|\psi(t)\rangle \approx \sum_{n} c_{n}(t)|\varphi_{n}\rangle$$
 (7.28)

b. The transition probability $\mathcal{P}_{if}(t)$

$$\left|c_{f}(t)\right|^{2} = \left|\langle\varphi_{f}|\psi(t)\rangle\right|^{2} = \mathcal{P}_{if}(t)$$
(7.29)

$$c_f(t) = b_f(t)e^{-iE_f t/\hbar}$$
 (7.30)

$$\Rightarrow \mathcal{P}_{if}(t) = |b_f(t)|^2 \tag{7.31}$$

where $b_f(t) = b_f^{(0)}(t) + \lambda b_f^{(1)}(t) + \dots$

Let us assume $|\varphi_i\rangle$ and $|\varphi_f\rangle$ are different (i.e. we are concerned only with transition induced by $\lambda \hat{W}$ between two distinct stationary states of \hat{H}_0): $b_f^{(0)}(t) = 0$ and consequently

$$\mathcal{P}_{if}(t) = \lambda^2 \left| b_f^{(1)}(t) \right|^2 \tag{7.32}$$

and using the formula for $b_n^{(1)}(t)$ we get

$$\mathcal{P}_{if}(t) = \frac{1}{\hbar^2} \left| \int_0^t e^{i\omega_{fi}t'} \underbrace{W_{fi}(t')}_{W(t) = \lambda \hat{W}} dt' \right|^2$$
(7.33)

Consider the function $\tilde{W}_{fi}(t')$ which is zero for t' < 0 and T' > t and is equal to $W_{fi}(t')$ for $0 \le t' \le t$.

 $\tilde{W}_{fi}(t')$ is the matrix element of the perturbation "seen" by the system between the time t = 0 and the measurement time t, when we try to determine if the system is in the state $|\varphi_f\rangle$.

 $\mathcal{P}_{if}(t)$ is proportional to the square of the modulus of the Fourier transform of the perturbation actually "seen" by the system, $\tilde{W}_{fi}(t)$.

C. SPECIAL CASE: A SINUSOIDAL OR CONSTANT PERTURBATION

 $\hat{W}(t) = \hat{W} \sin \omega t$ or $\hat{W}(t) = \hat{W} \cos \omega t$ \hat{W} is a time independent observable and ω a constant angular frequency.

(Example: electromagnetic wave of angular frequency ω . $\overline{\mathcal{P}_{if}(t)}$ is the probability, induced by monochromatic radiation, of a transition between the initial state $|\varphi_i\rangle$ and the final state $|\varphi_f\rangle$.)

$$\hat{W}_{fi}(t) = \hat{W}_{fi}\sin\omega t = \frac{\hat{W}_{fi}}{2i} \left(e^{i\omega t} - e^{-i\omega t}\right)$$
(7.34)

 \hat{W}_{fi} is a time independent complex number and

$$b_n^{(1)}(t) = -\frac{\hat{W}_{ni}}{2\hbar} \int_0^t \left[e^{i(\omega_{ni}+\omega)t'} - e^{i(\omega_{ni}-\omega)t'} \right] dt'$$
(7.35)

$$= \frac{\hat{W}_{ni}}{2i\hbar} \left[\frac{1 - e^{i(\omega_{ni} + \omega)t}}{\omega_{ni} + \omega} - \frac{1 - e^{i(\omega_{ni} - \omega)t}}{\omega_{ni} - \omega} \right]$$
(7.36)

The transition probability becomes

$$\mathcal{P}_{if}(t;\omega) = \lambda^2 \left| b_f^{(1)}(t) \right|^2 = \frac{\left| W_{fi} \right|^2}{4\hbar^2} \left| \frac{1 - e^{i(\omega_{fi} + \omega)t}}{\omega_{fi} + \omega} - \frac{1 - e^{i(\omega_{fi} - \omega)t}}{\omega_{fi} - \omega} \right|^2$$
(7.37)

(\mathcal{P}_{if} depends on the frequency of the perturbation)

If $\hat{W}_{fi}(t) = \hat{W}_{fi} \cos \omega t$, $\mathcal{P}_{if}(t;\omega) = \frac{\left|W_{fi}\right|^2}{4\hbar^2} \left|\frac{1 - e^{i\left(\omega_{fi} + \omega\right)t}}{\omega_{fi} + \omega} + \frac{1 - e^{i\left(\omega_{fi} - \omega\right)t}}{\omega_{fi} - \omega}\right|^2$ (7.38) Constant perturbation $\omega = 0$

$$\mathcal{P}_{if}(t;\omega) = \frac{\left|W_{fi}\right|^{2}}{\hbar^{2}\omega_{fi}^{2}}\left|1-e^{i\omega_{fi}t}\right|^{2} = \frac{\left|W_{fi}\right|^{2}}{\hbar^{2}}F\left(t;\omega_{fi}\right)$$
(7.39)
$$F\left(t;\omega_{fi}\right) = \left[\frac{\sin\left(\omega_{fi}t/2\right)}{\omega_{fi}/2}\right]^{2}$$
(7.40)

2. Sinusoidal perturbation which couples discrete states: resonancea. Resonant nature of the transition probability

When *t* is fixed, $\mathcal{P}_{if}(t; \omega)$ is a function of one variable ω . This function has a maximum for $\omega \simeq \omega_{fi}$ or $\omega \simeq -\omega_{fi}$; this is a resonance phenomenon (choose $\omega \ge 0$)



$$\mathcal{P}_{if}(t;\omega) = \frac{\left|\hat{W}_{fi}\right|^{2}}{4\hbar^{2}} \left| \underbrace{\frac{1 - e^{i\left(\omega_{fi} + \omega\right)t}}{\omega_{fi} + \omega}}_{A_{+}} - \underbrace{\frac{1 - e^{i\left(\omega_{fi} - \omega\right)t}}{\omega_{fi} - \omega}}_{A_{-}} \right|^{2}$$
(7.41)

$$A_{+} = -ie^{i(\omega_{fi}+\omega)t/2} \frac{\sin\left[\left(\omega_{fi}+\omega\right)t/2\right]}{\underbrace{\left(\omega_{fi}+\omega\right)/2}_{\text{goes to zero for }\omega=-\omega_{fi}}}$$
(7.42)

This term is anti-resonant for $\omega = \omega_{fi}$ (and resonant for $\omega = -\omega_{fi}$)

Resonant term

$$A_{-} = -ie^{i\left(\omega_{fi}-\omega\right)t/2} \frac{\sin\left[\left(\omega_{fi}-\omega\right)t/2\right]}{\left(\omega_{fi}-\omega\right)/2}$$
(7.43)

Consider the case $|\omega - \omega_{fi}| \ll \omega_{fi}$ (this is the resonant approximation): 1st order transition probability:

$$\mathcal{P}_{if}(t;\omega) = \frac{\left|W_{fi}\right|^{2}}{4\hbar^{2}}F(t;\omega-\omega_{fi})$$

$$\underbrace{F\left(t;\omega-\omega_{fi}\right)}_{\text{sinc function}} = \left\{\frac{\sin\left[\left(\omega_{fi}-\omega\right)t/2\right]}{\left(\omega_{fi}-\omega\right)/2}\right\}^{2}$$

$$(7.44)$$



b. The resonance width and time-energy uncertainty relation

The most of the resonant peak is concentrated around the resonant frequency ω_{fi} , for example at $\frac{(\omega - \omega_{fi})t}{2} = \frac{3\pi}{2}$ we get the transition probability $\frac{|W_{fi}|^2 t^2}{9\pi^2 \hbar^2}$ which is approximately 5% of the transition probability at the resonance.

We can define the width of the resonant peak as the difference between the frequencies of the minima of \mathcal{P}_{if} around the resonant frequency, see the figure, then

$$\Delta\omega \simeq \frac{4\pi}{t} \tag{7.46}$$

which is analogous to the time-energy uncertainty relation $\Delta E = \hbar \Delta \omega \simeq \frac{\hbar}{t}$

c. Validity of the perturbation treatment

a) Discussion of the resonant approximation A_+ has been neglected relative to A_- : $|A_-(\omega)|^2$ sinc function

$$|A_{+}(\omega)|^{2} = |A_{-}(-\omega)|^{2} \ll |A_{-}(\omega_{fi})|^{2}$$
(7.47)

The resonant approximation is justified on the condition

$$2\left|\omega_{fi}\right| >> \Delta\omega \tag{7.48}$$

that is

duration of the perturbation
$$>> \frac{1}{|\omega_{fi}|} \simeq \frac{1}{|\omega_{fi}|} \simeq (7.49)$$
 oscillation period

b) Limits of the first-order calculations

If *t* becomes too large, the first-order approximation can cease to be valid (i.e. giving infinit transition probability which is physically a nonsense):

$$\lim_{t \to \infty} \mathcal{P}_{if}\left(t; \omega = \omega_{fi}\right) = \lim_{t \to \infty} \frac{\left|W_{fi}\right|^2}{4\hbar^2} t^2 = \infty$$
(7.50)

For the first-order approximation to be valid at resonance, $\mathcal{P}_{if}(t; \omega = \omega_{fi}) \ll 1$:

$$t \ll \frac{\hbar}{\left|W_{fi}\right|} \tag{7.51}$$

3. Coupling with the states of the continuum

 E_f belongs to a continuous part of the spectrum of \hat{H}_0 \Downarrow

We cannot measure the probability of finding the system in a well-defined state $|\varphi_f\rangle$ at time t

\Downarrow

We have to integrate over probability density $|\langle \varphi_f | \psi(t) \rangle|^2$ over a certain group of final states.

a. Integration over a continuum of final states; density of states

- a) Example
- spinless particle of mass m
- scattering by a potential $W(\vec{r})$

 $E = \vec{p}^2/2m$, $|\psi(t)\rangle$ can be expanded in terms of $|\vec{p}\rangle$ The corresponding wavefunctions are plane waves

$$\langle \vec{r} | \vec{p} \rangle = \left(\frac{1}{2\pi\hbar} \right)^{3/2} e^{i\vec{p}\cdot\vec{r}/\hbar}$$
 (7.52)

The probability density

$$\left|\langle \vec{p} | \psi(t) \rangle\right|^2 \tag{7.53}$$

Detector gives a signal when the particle is scattered with the momentum \vec{p}_f but since it has a finite aperture it really gives the signal when the particle has momentum in a domain D_f of \vec{p} -space around $\vec{p}_f (\delta \Omega_f, \delta E_f)$

$$\delta \mathcal{P}(\vec{p}_f, t) = \int_{\vec{p}_f \in D_f} \mathrm{d}^3 \vec{p} \left| \langle \vec{p} | \psi(t) \rangle \right|^2 \tag{7.54}$$

$$d^{3}\vec{p} = p^{2}dp \qquad \underline{d\Omega} = \rho(E) \qquad dEd\Omega$$
solid angle around \vec{p}_{f} density of final states
$$\rho(E) = p^{2}\frac{dp}{dE} = p^{2}\frac{m}{p} = m\sqrt{2mE} \qquad (7.55)$$

$$\delta \mathcal{P}(\vec{p}_f, t) = \int_{\Omega \in \delta \Omega_f, E \in \delta E_f} d\Omega dE \rho(E) \left| \langle \vec{p} | \psi(t) \rangle \right|^2$$
(7.56)

b) The general case Eigenstates of \hat{H}_0 , labeled by a continuous set of indices

$$\langle \alpha | \alpha' \rangle = \delta(\alpha - \alpha')$$
 (7.57)

at time *t*: $|\psi(t)\rangle$

$$\delta \mathcal{P}(\alpha_f, t) = \int_{\alpha \in D_f} d\alpha \, |\langle \alpha | \psi(t) \rangle|^2$$
(7.58)

Change variables and introduce density of final states

$$d\alpha = \rho(\beta, E)d\beta dE$$
(7.59)

$$\delta \mathcal{P}(\alpha_f, t) = \int_{\beta \in \delta\beta_f, E \in \delta E_f} d\beta dE \,\rho(\beta, E) \,|\langle \beta, E | \psi(t) \rangle|^2$$
(7.60)

Fermi's Golden Rule

Let $|\psi(t)\rangle$ be the normalized state vector of the system at time *t*.

Consider a system which is initially in an eigenstate $|\varphi_i\rangle$ of \hat{H}_0 (in discrete part of spectrum)

$$\delta \mathcal{P}(\varphi_i, \alpha_f, t) = ? \tag{7.61}$$

The calculations for the case of a sinusoidal or constant perturbation remain valid when the final state of the system belongs to the continuous spectrum of \hat{H}_0

For W constant

$$|\langle \beta, E|\psi(t)\rangle|^2 = \frac{1}{\hbar^2} |\langle \beta, E|W|\psi(t)\rangle|^2 F\left(t; \frac{E-E_i}{\hbar}\right)$$
(7.62)

E – energy of the state $|\beta, E\rangle$ *E_i* – energy of the state $|\varphi_i\rangle$

$$\delta \mathcal{P}(\varphi_i, \alpha_f, t) = \frac{1}{\hbar^2} \int_{\beta \in \delta\beta_f, E \in \delta E_f} d\beta dE \,\rho(\beta, E) \,|\langle \beta, E|W|\psi(t)\rangle|^2 F\left(t; \frac{E - E_i}{\hbar}\right)$$
(7.63)

 $F\left(t; \frac{E-E_i}{\hbar}\right)$ varies rapidly about $E = E_i$; for sufficiently large *t*, this function can be approximated, to within a constant factor, by the δ -fuction $\delta (E - E_i)$:

$$\lim_{t \to \infty} F\left(t; \frac{E - E_i}{\hbar}\right) = \pi t \delta\left(\frac{E - E_i}{2\hbar}\right) = 2\pi \hbar t \delta\left(E - E_i\right)$$
(7.64)

The function $\rho(\beta, E) |\langle \beta, E | W | \psi(t) \rangle|^2$ varies much more slowly with *E*. We will assume that *t* is sufficiently large for the variation of this function over an energy interval of width $4\pi\hbar/t$ centered at $E = E_i$ to be negligible.

⇒ We can replace $F(t; \frac{E-E_i}{\hbar})$ by $2\pi\hbar t\delta (E-E_i)$ which allows us to integrate over *E* immediately.

If, in addition, $\delta\beta_f$ is very small, integration over β is unnecessary and we get (a) $E_i \in \delta E_f$

$$\delta \mathcal{P}(\varphi_i, \alpha_f, t) = \delta \beta_f \frac{2\pi}{\hbar} t \left| \langle \beta_f, E_f = E_i | W | \varphi_i \rangle \right|^2 \rho \left(\beta_f, E_f = E_i \right)$$
(7.65)
(b) $E_i \notin \delta E_f$

$$\delta \mathcal{P}(\varphi_i, \alpha_f, t) = 0 \tag{7.66}$$

 \Rightarrow A constant perturbation can induce transitions only between states of equal energies, and thus (b) holds.

The probability (a) increases linearly with *t*. \Rightarrow We can define

• transition probability per unit time $\delta W(\varphi_i, \alpha_f)$

$$\delta \mathcal{W}(\varphi_i, \alpha_f) = \frac{\mathrm{d}}{\mathrm{d}t} \delta \mathcal{P}(\varphi_i, \alpha_f, t)$$
(7.67)

which is time independent

• transition probability density per unit time and per unit interval of the variable β_f

$$w(\varphi_i, \alpha_f) = \frac{\delta W(\varphi_i, \alpha_f)}{\delta \beta_f}$$
(7.68)

Fermi's Golden Rule

$$w\left(\varphi_{i},\alpha_{f}\right) = \frac{2\pi}{\hbar} \left| \langle \beta_{f}, E_{f} = E_{i} | W | \varphi_{i} \rangle \right|^{2} \rho\left(\beta_{f}, E_{f} = E_{i}\right)$$
(7.69)

Assume that *W* is a sinusoidal perturbation which couples a state $|\varphi_i\rangle$ to the continuum of states $|\beta_f, E_f\rangle$ with energies E_f close to $E_i + \hbar\omega$. We can carry out the same procedure as above:

$$w\left(\varphi_{i},\alpha_{f}\right) = \frac{\pi}{2\hbar} \left| \langle \beta_{f}, E_{f} = E_{i} + \hbar\omega | W | \varphi_{i} \rangle \right|^{2} \rho\left(\beta_{f}, E_{f} = E_{i} + \hbar\omega\right)$$
(7.70)